

# Socioeconomic Status in Relation to Risks of Major Gastrointestinal Cancers in Chinese Adults: A Prospective Study of 0.5 Million People

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## ABSTRACT

**Background:** Low socioeconomic status (SES) is associated with higher risk of certain gastrointestinal (e.g., colorectal, pancreatic, and liver) cancers in Western populations. Evidence is very limited in China, where correlates and determinants of SES differ from those in the West.

**Methods:** The prospective China Kadoorie Biobank recruited 512,715 adults (59% women, mean age 51 years) from 10 (5 urban, 5 rural) regions. During 10 years of follow-up, 27,940 incident cancers (including 3,061 colorectal, 805 pancreatic, and 2,904 liver) were recorded among 510,131 participants without prior cancer at baseline. Cox regression was used to estimate adjusted HRs for specific cancers associated with area-level (e.g., per capita gross domestic product, disposable income) and individual-level (e.g., education, household income) SES.

**Results:** Area-level SES and household income showed positive associations with incident colorectal and pancreatic cancers and

inverse associations with liver cancer ( $P_{\text{trend}} < 0.05$ ). Education showed no association with colorectal cancer but inverse associations with pancreatic and liver cancers, with adjusted HRs comparing university to no formal schooling being 1.05 [95% confidence interval (CI), 0.85–1.29], 0.49 (95% CI, 0.28–0.85), and 0.61 (95% CI, 0.47–0.81), respectively. Potential risk factors (e.g., smoking, alcohol) partly explained the inverse associations of education with pancreatic and liver cancers (17.6% and 60.4%), respectively.

**Conclusions:** Among Chinese adults, the associations of SES with gastrointestinal cancers differed by cancer type and SES indicator. Potential risk factors partially explained the inverse associations of education with pancreatic and liver cancers.

**Impact:** The different associations between SES with gastrointestinal cancers may inform cancer prevention strategies.

## Introduction

Socioeconomic status (SES) is one of the most important contributors to health disparities (1). In epidemiologic studies, SES is often measured at the group level, for example, by neighborhood income and deprivation, or at the individual level, for example, by family income, education, and social class indicators (1). Studies in North America and Europe have shown that low SES is a risk factor for a range of noncommunicable diseases including several types of cancer (e.g., gastrointestinal and lung cancers), with a similar pattern for

cancer incidence and mortality (2–9). There is also evidence that the excess risk in low-SES populations could be partially explained by the higher prevalence of lifestyle risk factors assessed at adulthood including smoking, alcohol drinking, unhealthy diet, physical inactivity, and obesity (2–5).

In China, the rates and patterns of cancer, particularly of major gastrointestinal cancers, and the associations of lifestyle factors with SES differ importantly from those in the West (10, 11). The age-standardized incidence rate of liver cancer is much higher in China than in Western populations (12), whereas the converse is true for colorectal and pancreatic cancer (10, 11). In contrast to the patterns in Western countries, the prevalence of obesity, physical inactivity, and intake frequency of animal-origin foods in China is higher among individuals with high SES (13–15). However, there is limited evidence on the associations of SES with cancer risk in the Chinese population. Furthermore, it is not clear to what extent the difference in prevalence of lifestyle risk factors could explain the SES–cancer associations. While affluence assessed either at group or individual level is a major driver of cancer disparities, education has been shown to be associated with several potential risk factors for cancer in Western populations (Fig. 1; refs. 2, 4–7).

Therefore, we examined the associations of SES with the incidence and mortality of three major gastrointestinal (GI) cancers that have been shown to be associated with metabolic risk factors, which are colorectal, pancreatic, and liver cancer, in the China Kadoorie Biobank (CKB; ref. 16). We also assessed whether risk factors [i.e., smoking, alcohol drinking, physical inactivity, diet, adiposity, and hepatitis B virus (HBV) for liver cancer], could explain the associations of education with risks of incident cancers.

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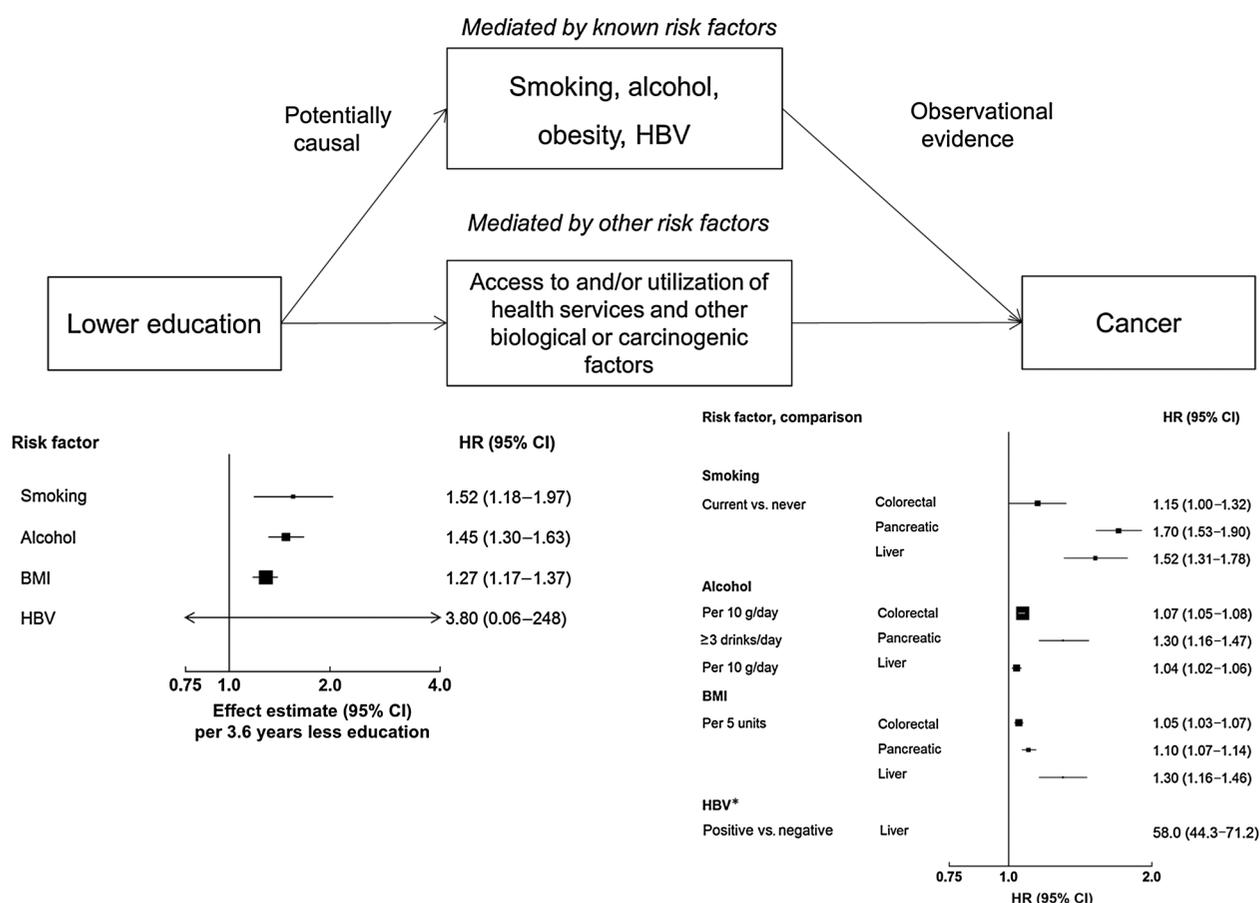
**Note:** Supplementary data for this article are available at Cancer Epidemiology, Biomarkers & Prevention Online (<http://cebp.aacrjournals.org/>).

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**Figure 1.**

Conceptual model of the associations of education, potential risk factors, and GI cancer risk. It is hypothesized that the indirect effect of the association of education with GI cancer is mediated through possible risk factors and access to and/or use of healthcare services, whereas the direct effect is potential biological or carcinogenic factors (36). However, no data are currently available to examine the direct effect between SES and cancer or the effect of access to and/or utilization of health services on the SES–cancer associations. To assess the causal effects of education on possible risk factors for cancer, independent summary statistics from genome wide association studies (GWAS) were obtained for education single nucleotide polymorphisms (SNP) from the Social Science Genetic Association Consortium (PMID: 27225129), for HBV from a Korean study (PMID: 23760081), and for smoking the TAG consortium (PMID: 20418890). The SNPs for alcohol (the UK Biobank) and body mass index (BMI; the GIANT consortium) were obtained through MR-Base ([www.mrbase.org](http://www.mrbase.org)). We used a conventional inverse-variance weighted (IVW) Mendelian randomization analysis in which the SNP to education estimate is regressed on the SNP to each risk factor, with the y-axis intercept forced through the origin. The pooled RRs between risk factors and cancer are extracted from the largest meta-analysis of prospective studies (PMID: 18193270, 19816941, 19720726, 19142968; World Cancer Research Fund Continuous Update Project), except for HBV (meta-analysis of cross-sectional, case-control, and prospective studies; PMID: 28230038). CI, confidence interval. \*, For HBV, the HR is not plotted due to its very high value.

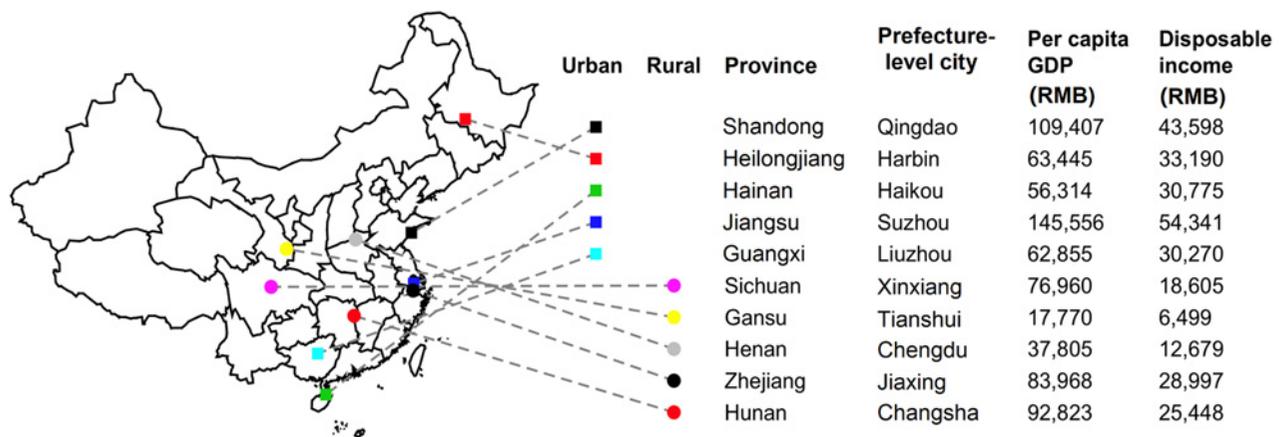
## Materials and Methods

### Study design

The CKB study recruited 512,715 participants from 10 geographically defined localities (5 urban and 5 rural) in China between 2004 and 2008 (17). These 10 regions were selected across China to provide a diverse range of patterns of major chronic diseases and risk exposures, levels of socioeconomic development, population stability, and local infrastructure. Each of the 10 regions (i.e., administrative district) was selected from a prefecture-level city within a different province (Fig. 2; Supplementary Table S1). In each region, 100–150 administrative units, either rural villages or urban residential committees, were randomly selected. We will use the term “area” to describe prefecture-level cities and the term “region” to refer to the 10 regions from which participants were

recruited in CKB. Details of the study design, survey methods, and population characteristics have been described in the study protocol (17). All participants eligible for this study had completed a written informed consent form. All methods were performed in accordance with relevant guidelines and regulations. The CKB study was approved by the Ethical Review Committee of the Chinese Center for Disease Control and Prevention and the Oxford Tropical Research Ethics Committee, University of Oxford (Oxford, United Kingdom). In 10 regions, all men and women aged 35 to 74 years who were permanent residents and had no major disability were eligible for the study. At local study assessment clinics, participants completed an interviewer-administered laptop-based questionnaire (sociodemographic characteristics, lifestyle factors, personal, and family medical history) and underwent a physical examination

## Socioeconomic Status and Risks of GI Cancers



**Figure 2.**

The locations and area-level SES of the 10 regions in CKB. The geographical locations, per capita GDP, and disposable income of the 10 CKB regions are shown separately for urban and rural areas. A prefecture-level city is an administrative unit comprising a main central urban area and its surrounding rural area consisting of smaller cities, towns, and villages. A prefecture-level city ranks below a province and above a county in China's administrative structure.

(height, weight, hip and waist circumference, bioimpedance, lung function, blood pressure and heart rate). In addition, blood spot tests were used to measure random plasma glucose and hepatitis B surface antigen (HBsAg).

#### Assessment of socioeconomic status

SES was assessed at both area and individual levels. Area-level SES included prefecture-level per capita gross domestic product (GDP) and disposable income, obtained from the 2011 Statistical Yearbook (median year of follow-up in CKB; ref. 18). Per capita GDP was used as a general measure of area-level economic development, while disposable income was used to approximate the average household income in an area. Previous nationwide reports in China have suggested the inclusion of per capita GDP to inform the implementation of cancer prevention strategies (19). Individual-level SES included self-reported highest education, household income, and an asset-based indicator. Household income was defined as annual income of all members living in a household received from work, investments, or pensions. All participants were asked whether they owned a house or an apartment, toilet for private use, motor vehicle (e.g., car or motorbike), telephone or mobile phone, and refrigerator. An asset-based indicator was calculated as the total number of these five items in the household. All 10 regions were divided into three categories by per capita GDP [ $<60,000$ ,  $60,000-99,999$ , and  $\geq 100,000$  Renminbi (RMB)/year] and disposable income ( $<20,000$ ,  $20,000-39,999$ , and  $\geq 40,000$  RMB/year; Fig. 2; Supplementary Table S1). Information on education covered six categories: no formal school, primary school, middle school, high school, technical school/college, and university. Similarly, household income had six categories:  $<2,500$ ,  $2,500-4,999$ ,  $5,000-9,999$ ,  $10,000-19,999$ ,  $20,000-34,999$ ,  $\geq 35,000$  RMB/year.

#### Assessment of lifestyle risk factors

For smoking, the questionnaire covered frequency, duration, amount, and type of tobacco, as well as the ages at which participants began smoking regularly and stopped smoking, and the main reason for stopping (1). Smoking status was categorized as (i) never (not smoking at baseline and had smoked  $<100$  cigarettes in lifetime), (ii) occasional (neither never nor former smokers and had not stopped smoking completely for at least the 6 months before baseline), (iii) former regular (had smoked  $\geq 100$  cigarettes but had quit

smoking by choice for  $\geq 6$  months before baseline), or (iv) current regular smoker (ever smoked  $\geq 1$  cigarettes daily for  $\geq 6$  months). For alcohol drinking, the questionnaire covered frequency, the type (beer, wine or spirits) and amount of each type consumed in a typical drinking week, as well as the age at which participants started drinking (2). Drinking status was classified into five categories as (i) abstainers (never had drunk alcohol in the past year and had not drunk weekly in the past), (ii) occasional drinkers (had drunk alcohol occasionally, monthly but less than weekly, or during certain seasons, and had not drunk weekly in the past), (iii) reduced-intake drinkers (had drunk alcohol occasionally, monthly but less than weekly, or during certain seasons, but had drunk weekly in the past), (iv) ex-weekly drinkers (never had drunk alcohol in the past year but had drunk weekly in the past), or (v) weekly drinkers (often drank at least weekly during the past year).

All anthropometric measurements were taken to the nearest 0.1 cm or 0.1 kg by trained staff. Standing and sitting height were measured with a stadiometer. Sitting height was measured as the length of the body from the crown of the head to buttocks. Weight was measured with a body composition analyzer (TANITA-TBF-300GS, Tanita Corporation), subtracting the weight of clothing according to season (0.5 kg in summer and 2.0–2.5 kg in winter). Body mass index (BMI) was calculated as weight (in kilograms) divided by the square of height (in meters). BMI was classified into five categories ( $<20.0$ ,  $20.0-22.5$ ,  $22.5-25.0$ ,  $25.0-27.0$ , and  $\geq 27.0$  kg/m<sup>2</sup>). BMI at age 25 (BMI25) was derived from the weight recalled at age 25 and the height measured at baseline. BMI25 was missing in 81,880 participants due to difficulty with recalling and was imputed using multiple imputation (using the “mice” package in R). The imputation model included age at baseline, sex, regions, education, household income, smoking, alcohol, self-rated health, family history of cancer (any of father, mother, and siblings), and cumulative hazard of total cancer (ICD-10 code: C00-C97).

#### Outcome assessment

The CKB study ascertained the vital status of each participant (i) through periodical reports from China Centre for Disease Control and Prevention's Disease Surveillance Points system, (ii) by regular checks against local residential and health insurance records, and (3) by

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annual active confirmation by street committees or village administrators (20). Additional information about cancer incidence and hospitalization was collected through linkages with cancer registries and national health insurance databases (with a coverage rate of 98% in all study regions). All disease events were coded using the 10th Revision of International Classification of Diseases (ICD-10) by trained staff blinded to baseline information. By 1.1.2017, 44,066 (8.6%) participants had died, and 4,751 (<1%) were lost to follow-up. Overall, 27,940 (5.4%) had developed cancer, including 3,061 colorectal (C18–20), 806 pancreatic (C25), and 2,904 liver (C22) cancer cases.

### Statistical analysis

The current study excluded participants with a history of cancer at baseline ( $n = 2,584$ ), leaving 510,131 individuals for the main analyses. Prevalences and mean values of baseline characteristics were calculated for categories of education and household income separately, standardized to the age, sex, and region structure of the CKB population. Cancer incidence and mortality rates were calculated for categories of area- and individual-level SES, standardized by age, sex, and region (for individual-level SES only).

In our analysis, we first examined the association of each area- and individual-level SES variable with risks of colorectal, pancreatic, and liver cancer. Cox proportional hazards models were used to

estimate adjusted HRs of incidence of a specific cancer associated with SES, stratified by sex and region (10 regions, for individual-level SES only), and adjusted for age at baseline (basic model). Age was used as the underlying time scale, with delayed entry at age at baseline. For household income, the basic model also included household size. For variables with more than two categories, all HRs are presented with “floating” SEs to facilitate comparisons between groups (21).

We then examined the extent to which possible risk factors explained the association of education with specific cancers. Possible risk factors were smoking, alcohol, obesity, and HBsAg (for liver cancer). These factors are selected because they potentially showed causal associations with education and they are associated with gastrointestinal cancers in prospective studies (Fig. 1; Supplementary Table S2). For obesity, we used BMI25 because BMI assessed at baseline might be affected by reverse causality (22, 23). Smoking was modeled as a categorical variable with six categories (never, occasional, former regular, and among current regular, 0–10, 11–20, and  $\geq 20$  cigarettes/day). Alcohol drinking was modeled as a categorical variable with seven categories (abstainers, ex-weekly drinkers, reduced-intake drinkers, occasional drinkers, and among weekly drinkers, intake of 0–279, 280–419, and  $\geq 420$  g/week). BMI25 was modeled as a continuous variable. HBsAg was modeled as a categorical variable with four categories (negative, positive, unclear,

**Table 1.** Baseline characteristics by level of education.

Variables <sup>a</sup>	Highest education					
	No formal school ( $n = 95,221$ )	Primary school ( $n = 165,216$ )	Middle school ( $n = 144,913$ )	High school ( $n = 77,527$ )	Technical/college ( $n = 18,294$ )	University ( $n = 11,720$ )
Age (SD), year	52.3 (10.2)	51.8 (4.4)	51.1 (3.6)	51.2 (5.0)	51.0 (8.1)	50.9 (8.1)
Female, %	83.3	60.4	47.1	43.6	33.2	27.1
Socioeconomic and lifestyle factors						
Urban region, %	20.5	26.5	56.1	74.6	89.9	93.9
Household income $\geq 35,000$ RMB/year, %	9.6	12.3	17.8	27.3	43.6	54.8
Ever regular smoking, %						
Male	69.6	71.5	68.6	61.8	51.5	43.2
Female	5.3	4.0	2.4	1.5	1.2	0.2
Weekly drinking, %						
Male	31.9	33.7	33.7	31.2	30.3	29.5
Female	2.3	1.8	2.0	1.8	2.0	2.0
Total physical activity (SD), MET-h/day	20.4 (14.3)	21.1 (14.3)	20.7 (14.3)	19.3 (12.7)	17.3 (9.0)	17.3 (8.1)
Sedentary leisure time (SD), h/day	2.8 (1.7)	3.0 (1.5)	3.2 (1.5)	3.3 (1.5)	3.4 (1.5)	3.3 (1.5)
Daily intake, %						
Fresh fruits	11.7	14.6	21.0	28.2	40.0	41.7
Fresh vegetables	93.1	94.3	95.3	95.9	97.1	98.3
Red meat	23.8	26.8	30.6	35.4	43.7	46.0
Blood pressure and anthropometry						
SBP (SD), mm Hg	133.3 (23.3)	131.6 (21.4)	130.4 (19.4)	129.3 (19.2)	127.6 (19.2)	126.1 (19.3)
RPG (SD), mmol/L	6.2 (2.5)	6.1 (2.4)	6.1 (2.3)	6.0 (2.1)	6.1 (2.2)	6.0 (2.2)
BMI (SD), kg/m <sup>2</sup>	23.7 (3.5)	23.7 (3.4)	23.7 (3.3)	23.7 (3.3)	23.8 (3.2)	23.9 (3.2)
Waist circumference (SD), cm	80.3 (10.0)	80.6 (9.7)	80.5 (9.6)	80.4 (9.7)	80.7 (9.9)	81.1 (10.0)
Hip circumference (SD), cm	90.5 (6.8)	90.9 (6.7)	91.2 (6.8)	91.4 (6.6)	92.0 (6.2)	92.5 (6.3)
Waist-to-hip ratio (SD)	0.89 (0.07)	0.89 (0.07)	0.88 (0.07)	0.88 (0.07)	0.88 (0.08)	0.88 (0.07)
Body fat percentage (SD), %	28.1 (8.8)	28.1 (8.9)	28.1 (8.1)	27.9 (7.6)	28.2 (6.9)	27.8 (6.8)
BMI at age 25, kg/m <sup>2</sup>	22.2 (2.8)	22.2 (2.6)	21.9 (2.5)	21.6 (2.5)	21.3 (2.4)	21.2 (2.4)
Height (SD), cm	157.3 (7.2)	158.1 (7.8)	159.0 (8.0)	159.8 (8.0)	160.8 (7.8)	161.3 (7.9)
HBsAg positive, %	3.3	3.3	3.0	2.8	2.6	1.9

Note:  $P_{\text{trend}}$ , all < 0.001 except for weekly drinking in females (0.38).

Abbreviations: MET, metabolic equivalent of task; RPG, random plasma glucose; SBP, systolic blood pressure.

<sup>a</sup>Results were standardized by age, sex, and region (where appropriate). Values are means unless otherwise stated.

or missing). We included each lifestyle risk factor in the basic model and examined the percent change in the logHRs comparing the highest and lowest categories of education or household income. The proportion of the association of education or household income with a specific cancer that was explained by a lifestyle risk factor was calculated as follows:  $((\log\text{HR}_{\text{basic model}} - \log\text{HR}_{\text{adjusted model}}) / (\log\text{HR}_{\text{basic model}})) \times 100\%$ . Statistical analysis was done using R version 3.5.1.

## Results

### Baseline characteristics by education and household income

Among the 510,131 participants included, the mean (SD) baseline age was 51.5 (10.7) years and 59% were women. Overall, 20.9% of participants had high school or higher education, and 18.0% had annual household income  $\geq 35,000$  RMB (conversion rate: 1 RMB = 0.15 USD). Per capita GDP and disposable income of all 10 regions are shown in Fig. 2. The correlation was low to moderate between area-level SES, household income, and education (Spearman correlation coefficient: 0.37 between area-level per capita GDP and household income, 0.51 between area-level disposable income and household income, and 0.28 between education and household income). Participants with higher education were less likely to smoke or drink alcohol and reported lower physical activity and higher sedentary leisure time (Table 1). They had lower systolic blood pressure and random plasma glucose, higher adiposity at baseline, and lower BMI at age 25, and were less likely to test positive for HBsAg. The pattern of baseline characteristics by household income was generally similar to that by education except that no pattern was identified for HBsAg (Supplementary Table S3).

### Area- and individual-level SES and specific gastrointestinal cancers

For area-level SES, per capita GDP and disposable income showed positive associations with colorectal and pancreatic cancer and inverse associations with liver cancer (Table 2). Similar to area-level SES, household income showed positive associations with colorectal and pancreatic cancer and an inverse association with liver cancer (Table 3). There were also positive associations of the number of assets (apartment/house, private toilet, motor vehicle,

refrigerator, and phone) with colorectal and pancreatic cancer and an inverse association with liver cancer (Table 3; Supplementary Table S4). In contrast, education showed inverse associations with pancreatic and liver cancer and no association with colorectal cancer (Table 3).

### Effects of potential risk factors on education with risks of cancers

For pancreatic cancer (Fig. 3), differences in the prevalence of smoking, alcohol, and young adulthood obesity explained between 6.0% (BMI25) and 7.3% (alcohol) of the inverse association for education, with the three factors together explaining 17.6% of the inverse association. For liver cancer (Fig. 3), differences in the prevalence of smoking, alcohol drinking, young adulthood obesity, and HBsAg explained between 4.1% (BMI25) and 30.7% (HBsAg) of the inverse association for education with the four factors together explaining 60.4% of the inverse association.

### Sensitivity analyses

The associations of area- and individual-level SES with risks of the three cancers did not differ by age at baseline or region (Supplementary Figs. S1 and S2). The associations of area-level SES with colorectal cancer and the associations of individual-level SES with the three cancers did not differ by sex (Supplementary Fig. S3). For area-level SES, the positive associations with pancreatic cancer were stronger in men, while the inverse associations with liver cancer were stronger in women (Supplementary Fig. S3). For colorectal cancer, the positive associations of SES were stronger for colon than rectal cancer ( $P_{\text{heterogeneity}} < 0.001-0.02$ ; Supplementary Table S5), except for per capita GDP ( $P_{\text{heterogeneity}} = 0.56$ ). For cancer mortality (Supplementary Table S6), the positive associations for household income and assets attenuated toward the null for colorectal cancer but changed little for other SES variables. For pancreatic and liver cancer, the associations with mortality were similar to those with incidence. The associations of education with the three gastrointestinal cancers did not differ by household income (Supplementary Fig. S4). The associations of household income with pancreatic and liver cancer did not differ by education, whereas the positive association for colorectal was weaker among participants with higher level of education (Supplementary Fig. S4).

**Table 2.** Standardized incidence rates (per 100,000) and HRs of colorectal, pancreatic, and liver cancers by area-level SES.

	Colorectal			Pancreatic			Liver		
	No. cases	Incidence rate (per 100,000)	HR (95% CI)	No. cases	Incidence rate (per 100,000)	HR (95% CI)	No. cases	Incidence rate (per 100,000)	HR (95% CI)
Urbanity									
Rural	1,309	46.6	Reference	396	14.1	Reference	1,659	59.0	Reference
Urban	1,752	80.4	1.58 (1.47-1.70)	409	18.7	1.19 (1.04-1.37)	1,245	56.9	0.91 (0.84-0.98)
Per capita GDP (RMB)									
<60,000	489	41.9	1.00 (0.92-1.09)	126	8.8	1.00 (0.84-1.19)	838	63.7	1.00 (0.93-1.07)
60,000-99,999	1,487	65.3	1.73 (1.64-1.82)	321	14.1	1.42 (1.27-1.58)	1,273	57.6	0.88 (0.83-0.93)
$\geq 100,000$	1,085	72.1	1.95 (1.84-2.07)	358	24.8	2.48 (2.23-2.75)	793	53.8	0.84 (0.78-0.90)
Disposable income (RMB)									
<20,000	649	41.4	1.00 (0.93-1.08)	200	12.8	1.00 (0.87-1.15)	1,019	64.2	1.00 (0.94-1.06)
20,000-39,999	1,241	62.1	1.41 (1.33-1.49)	264	12.5	0.94 (0.83-1.06)	1,101	55.3	0.81 (0.76-0.86)
$\geq 40,000$	1,171	84.9	1.87 (1.77-1.98)	341	24.8	1.72 (1.55-1.91)	784	55.8	0.80 (0.75-0.86)

Note: Model was stratified by sex and region and adjusted for age at baseline. Conversion rate: 1 RMB = 0.15 USD.  $P_{\text{trend}}$  by per capita GDP and disposable income; all < 0.01.

Abbreviation: No., number.

**Table 3.** Standardized incidence rates (per 100,000) and HRs of colorectal, pancreatic, and liver cancers by individual-level SES.

	Colorectal			Pancreatic			Liver		
	No. cases	Incidence rate (per 100,000)	HR (95% CI)	No. cases	Incidence rate (per 100,000)	HR (95% CI)	No. cases	Incidence rate (per 100,000)	HR (95% CI)
Education									
No formal school	653	64.7	1.00 (0.92-1.09)	228	20.9	1.00 (0.86-1.16)	622	68.0	1.00 (0.91-1.09)
Primary school	1,048	61.2	1.07 (1.00-1.14)	283	16.0	0.84 (0.75-0.95)	1,141	62.8	1.00 (0.94-1.06)
Middle school	764	62.1	1.18 (1.09-1.27)	161	13.5	0.83 (0.70-0.98)	697	52.8	0.92 (0.85-1.00)
High school	409	61.6	1.09 (0.99-1.21)	102	16.8	0.94 (0.76-1.15)	320	47.5	0.79 (0.70-0.88)
Technical school	97	42.1	0.95 (0.78-1.16)	18	11.9	0.59 (0.37-0.95)	71	32.7	0.68 (0.54-0.86)
University	90	103.4	1.05 (0.85-1.29)	13	4.3	0.49 (0.28-0.85)	53	25.0	0.61 (0.47-0.81)
Household income (RMB)									
<2,500	98	51.1	1.00 (0.81-1.24)	34	8.8	1.00 (0.69-1.44)	168	88.0	1.00 (0.85-1.18)
2,500-4,999	189	49.6	1.16 (1.00-1.35)	62	16.8	1.19 (0.92-1.55)	265	79.4	0.84 (0.74-0.96)
5,000-9,999	446	54.3	1.31 (1.19-1.44)	123	14.0	1.22 (1.01-1.46)	606	68.1	0.85 (0.78-0.92)
10,000-19,999	906	61.9	1.59 (1.49-1.69)	218	15.1	1.36 (1.19-1.55)	841	58.2	0.71 (0.67-0.76)
20,000-34,999	824	66.6	1.79 (1.67-1.92)	203	17.8	1.62 (1.40-1.86)	608	50.6	0.62 (0.57-0.67)
≥35,000	598	69.9	1.86 (1.70-2.02)	165	20.2	1.88 (1.59-2.21)	416	47.6	0.57 (0.52-0.63)
Assets									
None	149	46.2	1.00 (0.85-1.18)	50	13.8	1.00 (0.75-1.33)	263	83.2	1.00 (0.88-1.13)
One	273	55.5	1.22 (1.08-1.38)	85	14.5	1.22 (0.98-1.52)	398	74.3	0.98 (0.89-1.09)
Two	500	59.5	1.38 (1.26-1.50)	127	15.1	1.23 (1.04-1.47)	581	63.6	0.95 (0.87-1.03)
Three	960	66.0	1.55 (1.45-1.66)	227	15.6	1.35 (1.18-1.55)	793	58.2	0.86 (0.80-0.92)
Four	737	64.2	1.53 (1.43-1.65)	181	16.4	1.39 (1.20-1.61)	567	51.5	0.75 (0.69-0.82)
Five	442	69.3	1.66 (1.51-1.82)	135	21.8	1.89 (1.59-2.24)	302	44.7	0.67 (0.60-0.75)

Note: Model was stratified by sex and region (for individual-SES only) and adjusted for age at baseline, household size, and education (for household income and assets), and household income (for education).  $P_{\text{trend}}$ : all <0.001 except for education and colorectal cancer (0.45).  
Abbreviation: No., number.

## Discussion

In this adult population from 10 diverse urban and rural regions of China, the associations of SES with specific gastrointestinal cancers differed by cancer type and SES indicator. For area-level SES, there were positive associations of per capita GDP and disposable income with colorectal and pancreatic cancer and inverse associations with liver cancer. For these three cancers, the patterns for household income and numbers of assets were similar to those for area-level SES. In contrast, education showed inverse associations with pancreatic and liver cancer and no association with colorectal cancer. For pancreatic and liver cancer, differences in the prevalence of smoking, alcohol, young adulthood adiposity, and HBsAg (for liver cancer) partially explained the inverse associations for education.

For individual-level SES, the majority of previous studies in North America and Europe have shown inverse associations of education with colorectal, pancreatic, and liver cancer (Supplementary Fig. S5; refs. 4-6, 24-26). In CKB, findings for pancreatic and liver cancer were consistent with previous studies in Western populations. For colorectal cancer, however, there was no association for education, in line with a European cohort (4). For household income, a U.S. cohort study reported a null association with colorectal cancer (24), while two Korean cohort studies reported a null association with colorectal cancer and an inverse association with liver cancer (Supplementary Fig. S5; refs. 27, 28). Our finding for liver cancer in CKB was consistent with the Korean studies where HBV is also the major risk factor (27), while we showed positive associations of household income with colorectal

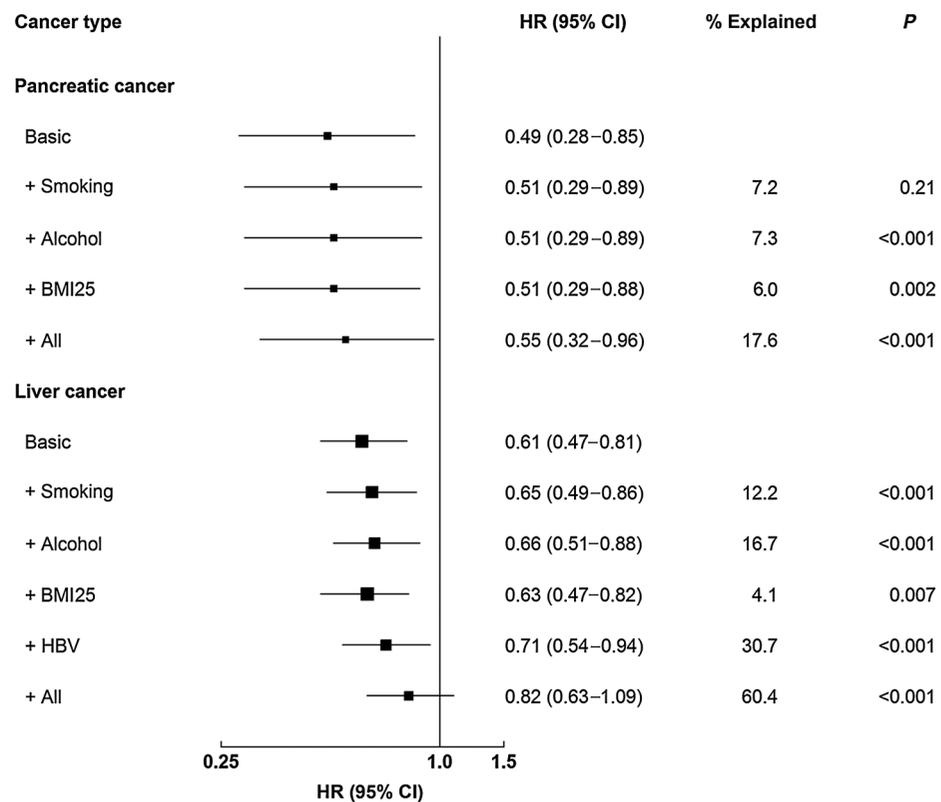
and pancreatic cancer. For pancreatic and colorectal cancer, we observed an inconsistent pattern for education and income (i.e., household income and assets). This is possibly because of the opposite associations of SES indicators with potential risk factors for these cancers (Table 1; Supplementary Table S3). For example, participants with higher education were less likely to be regular drinkers and smokers. While participants with higher household income were more likely to be regular drinkers, there was no clear pattern for smoking status. In addition, the positive associations for income might reflect the higher prevalence of an unhealthy lifestyle (e.g., low physical activity, high sedentary leisure time, high consumption of energy and animal-origin foods) among the wealthy population (14, 15, 29, 30), which may be difficult to quantify in regression models. For area-level SES, previous prospective studies in North America and Europe have shown lower risks of colorectal, liver, and pancreatic cancer in neighborhoods with higher income or lower deprivation (Supplementary Fig. S6; refs. 3, 7-9, 25, 31-34). In CKB, the risk of liver cancer was lower in regions with higher per capita GDP and disposable income, consistent with previous studies in Western populations and in China (19, 35). In contrast, risks of colorectal and pancreatic cancer in CKB were higher in regions with higher per capita GDP and disposable income, consistent with previous reports from the National Central Cancer Registry that assessed urbanisation rates and per capita GDP (19, 35).

The associations of SES with cancer are likely to reflect the complex relationships between SES, lifestyle risk factors, healthcare,

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**Figure 3.**

Associations of education and pancreatic and liver cancers with additional adjustment for potential risk factors. The basic model was stratified by sex and region and adjusted for age at baseline. The adjusted model included variables in the basic model plus each risk factor. The likelihood ratio test was used to compare the basic model and the adjusted model. *P* values from the comparison were reported for each risk factor. Boxes represent HRs associated with central adiposity by number of metabolic risk factors. The sizes of the boxes are proportional to the inverse of the "floated" variance of the log HRs.



and cancer risk (2, 36). Both CKB and previous studies have shown that potential risk factors (mostly lifestyle-related) could partially explain the inverse associations of education with cancer risk. When additionally adjusting for smoking, alcohol, diet, physical activity, and BMI, the NIH-AARP Diet and Health Study in the United States reported that the inverse associations with pancreatic and liver cancer attenuated toward the null (50% reduction for pancreatic and 60% for liver; refs. 2, 5). In CKB, smoking, alcohol, and young adulthood adiposity explained 17% of the inverse association with pancreatic cancer, while smoking, alcohol, young adulthood adiposity, and HBV explained 60% of the association with liver cancer. Compared with household income, educational attainment is more strongly related to early life SES which is important in the adoption and maintenance of a healthy lifestyle in adulthood (37). Indeed, Mendelian randomization studies have shown that lower education is causally associated with smoking, alcohol, obesity, and possibly HBV infection, which are associated with cancer risk (Fig. 1; ref. 38). In contrast, household income reflects adulthood SES that may not capture SES over the life course and may also be subject to reverse causality (39).

For area-level SES, the patterns for colorectal and pancreatic cancer were opposite in CKB and Western countries. It is possible that the excess risks in high-income individuals in CKB may be partly explained by the higher prevalence of sedentary lifestyle and a diet rich in energy and animal-origin foods and low in dietary fiber and whole grains (14, 15, 29, 30, 40, 41), which may be difficult to evaluate by individual-level factors in regression models. The positive associations of area-level and household income with colorectal cancer incidence may also reflect the lack of population-based screening in China (42). Randomized controlled trials in

high-income countries have shown that colorectal cancer screening is associated with a lower risk of developing colorectal cancer (43). In the United States, there was a positive association between area-level household income with colorectal cancer incidence between 1973 and 1998 and the positive association became inverse after 1998 when colorectal cancer screening was introduced (44). It is possible that individuals with high SES in China have better access to health care services and therefore are more likely to be diagnosed with colorectal cancer (45–48).

The strengths of the CKB include its prospective design, the large and diverse study population with complete follow-up, and the ability to assess area- and individual-level SES and a range of risk factors for cancer. This study also has limitations. First, the CKB cohort included 5 urban and 5 rural areas and may not have covered substantial SES disparities across the country. Second, factors related to access to, and use of, health care services were not collected, which may explain the SES-cancer associations. Representative national surveys showed that individuals with higher education and income were more likely to utilize preventive health services including immunization and cancer screening (Supplementary Table S7). However, only 1% of individuals reported cancer screening in the past year, which is unlikely to explain the SES-cancer associations in the current study. Third, other unmeasured or unknown variables might partly explain the associations of SES with cancer risk (e.g., comorbidities, medications, occupational exposures, environmental chemicals, built environment, access and use of health care services; ref. 49). However, a sensitivity analysis showed that an unaccounted for variable would have to be associated with SES and cancer with a relative risk of approximately 3 to explain away the observed HR (Supplementary Table S8; ref. 50).

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In conclusion, both area- and individual-level income showed positive associations with risks of colorectal and pancreatic cancer and inverse associations with liver cancer. For education, there were inverse associations with pancreatic and liver cancer and no association with colorectal cancer. The inverse associations of education with pancreatic and liver cancer may be explained, at least to a certain extent, by potential risk factors particularly smoking, alcohol, young adulthood adiposity, and HBV (for liver cancer). More studies are warranted in the Chinese population to understand the SES–cancer associations to inform targeted interventions and track cancer disparities.

**Disclosure of Potential Conflicts of Interest**

M.V. Holmes has collaborated with Boehringer Ingelheim in research and, in accordance with the policy of the Clinical Trial Service Unit & Epidemiological Studies Unit (University of Oxford), did not accept any personal payment. No potential conflicts of interest were disclosed by the other authors.

**Disclaimer**

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## Socioeconomic Status in Relation to Risks of Major Gastrointestinal Cancers in Chinese Adults: A Prospective Study of 0.5 Million People

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