

## Genetic variation in the TGF- $\beta$ -signaling pathway and colon and rectal cancer risk

Martha L. Slattery, Jennifer S. Herrick, Abbie Lundgreen,  
Roger K. Wolff

All authors: Department of Internal Medicine, University of Utah Health Sciences Center, Salt Lake City, Utah, USA

Key words: inflammation, colon cancer, rectal cancer, *TGF $\beta$* , *TGF $\beta$ R1*, Smad, polymorphism, survival, CIMP

Corresponding author:

Martha L. Slattery, Ph.D.  
Department of Internal Medicine  
University of Utah Health Sciences Center  
295 Chipeta Way  
Salt Lake City, Utah 84108  
Phone 801-585-6955  
Fax 801-581-3623  
[marty.slattery@hsc.utah.edu](mailto:marty.slattery@hsc.utah.edu)

Acknowledgements: This study was funded by NCI grants CA48998 and CA61757. This research also was supported by the Utah Cancer Registry, which is funded by Contract #N01-PC-67000 from the National Cancer Institute, with additional support from the State of Utah Department of Health, the Northern California Cancer Registry, and the Sacramento Tumor Registry. The contents of this manuscript are solely the responsibility of the authors and do not necessarily represent the official view of the National Cancer Institute. We would like to acknowledge the contributions of Dr. Bette J. Caan, Dr. Kristin Anderson, Dr. John D. Potter, Sandra Edwards, Roger Edwards, Leslie Palmer, Donna Schaffer, and Judy Morse for data

Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.  
Copyright © 2010 American Association for Cancer Research

management and collection.

Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.  
Copyright © 2010 American Association for Cancer Research

## Abstract (Word count 250)

**Background:** The TGF- $\beta$ -signaling pathway is an essential regulator of many cellular process involved in carcinogenesis. Smad proteins are central to the function of TGF- $\beta$ -signaling. In this study we evaluate genetic variation in *TGF $\beta$ 1*, *TGF $\beta$ R1*, *Smad1*, *Smad2*, *Smad3*, and *Smad4* and risk of colon and rectal cancer.

**Methods:** Data are from a large case-control study of colon (n=1444 cases, 1841 controls) and rectal (n=754 cases, 856 controls) cancer participants with DNA.

**Results:** Both *TGF $\beta$ 1* rs1800469 and rs4803455 were associated with colon cancer (OR 0.65 and 1.43, 95% CI 0.51,0.84 and 1.18,1.73 respectively) but not rectal cancer. Likewise, 1 of 3 tagSNPs for *TGF $\beta$ R1*, 2 of the 4 tagSNPs for *Smad2*, and 4 of 37 *Smad3* tagSNPs were associated with colon cancer. Fewer significant associations were observed for rectal cancer, with only 1 tagSNP in *Smad2* and 3 tagSNP in *Smad3* having 95% confidence intervals excluding 1.0. Several *Smad3* tagSNPs were only associated with CpG island methylator phenotype (CIMP). We observed several statistically significant interactions between genetic variation in the TGF- $\beta$ -signaling pathway and *NF $\kappa$ B1*, further illustrating its involvement in proposed mechanisms. Additionally we observed statistically significant interaction between *TGF $\beta$ 1*, *TGF $\beta$ R1*, *Smad3* and cigarette smoking, aspirin use, and estrogen status for both colon and rectal cancer. Variation in *TGF $\beta$ 1*, *TGF $\beta$ R1*, and *Smad3* appeared to influence survival after diagnosis of colon and rectal cancer.

**Conclusions.** These findings provide further support for genetic variation in the TGF- $\beta$ -signaling pathway and risk of developing both colon and rectal cancer.

**Impact:** Insight into biological pathways is provided.

**Key Words:** TGF- $\beta$ -signaling, Smad, colon cancer, rectal cancer, *NF $\kappa$ B1*, aspirin, estrogen

The TGF- $\beta$  signaling pathway is an essential regulator of cellular proliferation, differentiation, apoptosis, and extracellular matrix remodeling in the cell (1). Additionally, this signaling pathway is involved in angiogenesis and inflammation. It mediates intracellular actions of pro-inflammatory cytokines, including activation of nuclear factor-kappa B (NF $\kappa$ B) (2, 3) and deficiency of TGF- $\beta$  has been shown to lead to extensive inflammation (2). TGF- $\beta$  ligand initiate their cellular effects by binding to cell surface receptors (1); type 1 receptors mediate their cellular effects through interaction with Smad proteins. Thus, Smads are key intracellular mediators of the transcriptional responses to TGF- $\beta$  (4).

*Smad4* (DPC4) is inactivated in some colorectal cancers and germline mutations of *Smad4* have been linked to familial juvenile polyposis families (5). *Smad2* has been identified as a TGF- $\beta$  responsive Smad that is a transcription factor involved in the regulation of cell growth and apoptosis. *Smad7* also is involved in inflammation-related pathways and has been shown to modulate TGF- $\beta$  and wnt-signaling (6). Genetic variation in the *Smad7* gene on 8q21 has been identified through numerous genome-wide association studies (GWAS) as being associated with colorectal cancer (CRC) (7). Like *Smad7*, *Smad2* and *Smad4* are located on 8q21. We previously reported on the replication of tagSNPs in the *Smad7* gene identified from GWAS in a our population-based case-control study of colon cancer (8). We observed that rs12953717 was associated with a statistically significant increased risk of colon cancer (OR 1.38; 95% CI 1.13, 1.68; p linear trend <0.01) for the TT genotype compared to the CC genotype while the CC genotype of the rs4939827 tagSNP was inversely associated with colon cancer (OR 0.77 95% CI 0.64,0.93) relative to the TT genotype. In our study, associations appeared to be modified by use of aspirin (8).

There is growing support for the role of the TGF- $\beta$ -signaling pathway in the etiology of colon and rectal cancer. In this study we evaluate genetic variation in *TGF $\beta$ 1*, *TGF $\beta$ R1*, *Smad1*, *Smad2*, *Smad3*, and *Smad4*. We evaluate how these genes interact with other potentially important genes in the pathway, including *Smad7*, *NF $\kappa$ B1*, and *IKK $\beta$*  involved in inflammation-related mechanisms. Environmental factors that may operate in this pathway include estrogen, aspirin/NSAIDs, and cigarette smoking which may lead to oxidative stress and increase the likelihood of inflammation (9). We evaluate the potential interactions between these factors and genetic variation in the TGF $\beta$ -signaling pathway. Additionally, we seek to confirm previous reports that genetic alterations in the TGF $\beta$ -signaling pathway influences tumor markers such as micro-satellite instability and epigenetic changes. We evaluate the hypothesis that the TGF $\beta$  signaling influences prognosis after diagnosis with cancer by comparing survival rates based on genetic variation in this pathway.

## Methods

Two study populations are included in these analyses. The first study, a population-based case-control study of colon cancer, included cases (n=1,593) and controls (n=1,994) identified between October 1, 1991 and September 30, 1994 (10) living in the Twin Cities Metropolitan Area, Kaiser Permanente Medical Care Program of Northern California (KPMCP) and a seven county area of Utah. The second study, with identical data collection methods, included cases with cancer of the rectosigmoid junction or rectum (n=790) and controls (n=999) who were identified between May 1997 and May 2001 in Utah and KPMCP (11). Eligible cases were between 30 and 79 years old at time of diagnosis, English speaking, mentally competent to complete the interview, had no previous history of CRC, and no known (as indicated on the pathology report) familial adenomatous polyposis, ulcerative colitis, or Cohn's disease.

Controls were matched to cases by sex and by 5-year age groups. At KPMCP, controls were randomly selected from membership lists; in Utah, controls 65 years and older were

randomly selected from the Health Care Financing Administration lists and controls younger than 65 years were randomly selected from driver's license lists. In Minnesota, controls were selected from driver's license and state-identification lists. Study details have been previously reported (12, 13).

### **Interview Data Collection.**

Data were collected by trained and certified interviewers using laptop computers. All interviews were audio-taped as previously described and reviewed for quality control purposes (14). The referent period for the study was two years prior to diagnosis for cases or selection for controls. Detailed information was collected on diet, physical activity, medical history, reproductive history, family history of cancer in first-degree relatives, regular use of aspirin and non-steroidal anti-inflammatory drugs, and body size.

### **Tumor Registry Data.**

Tumor registry data were obtained to determine disease stage at diagnosis and months of survival after diagnosis. Disease stage was categorized by Surveillance, Epidemiology, and End Results (SEER) staging of local, regional, and distant disease as well as by the American Joint Committee on Cancer (AJCC) staging criteria. Local tumor registries provided information on patient follow-up including vital status, cause of death, and contributing cause of death. Survival-months were calculated based on month and year of diagnosis and month and year of death, or date of last contact for those individuals who were still alive.

### **Tumor Marker Data.**

We have previously evaluated tumors for CpG island methylator phenotype (CIMP), microsatellite instability (MSI), *TP53* mutations, and *KRAS2* mutations (15-18) and were therefore able to evaluate genes in relation to tumors with specific characteristics or markers.

Details for methods used to evaluate these epigenetic and genetic changes have been described in previous publications (15-18).

### **TagSNP Selection and Genotyping.**

TagSNPs were selected for genes *TGFβR1*, *Smad1*, *Smad2*, *Smad3*, and *Smad4*, using the following parameters: an  $r^2 < 0.8$  defined LD blocks using a Caucasian LD map, minor allele frequency or maf > 0.1, range = -1500 bps from the initiation codon to +1500 bps from the termination codon, and 1 SNP/LD bin. All markers were genotyped using a multiplexed bead array assay format based on GoldenGate chemistry (Illumina, San Diego, California). A genotyping call rate of 99.85% was attained. Blinded internal replicates represented 4.4% of the sample set. The duplicate concordance rate was 100.00%

For *TGFβ1*, candidate markers rs1800469 and rs4803455 were chosen based on prevalent minor allele frequency and previous findings described in the literature (19). Rs1800469 and rs4803455 were genotyped independently using a TaqMan assay from Applied Biosystems (Foster City, California). Each 5ul PCR reaction contained 20 ng of genomic DNA, primers, probes, and TaqMan Universal PCR Master Mix (containing AmpErase UNG, AmpliTaq Gold enzyme, dNTPs, and reaction buffer). PCR was carried out under the following conditions: 50°C for 2 minutes to activate UNG, 95°C for 10 min, followed by 40 cycles of 92°C for 15 sec, and 60°C for 1 minute using 384 well dual block ABI 9700. Fluorescent endpoints of the TaqMan reactions were measured using a 7900HT sequence detection instrument.

### **Statistical Methods.**

All statistical analyses were performed using SAS® version 9.2 (SAS Institute, Cary, NC). We assessed odds ratios (ORs) and 95% confidence intervals (95% CIs) in multiple logistic regression models for colon and rectal cancer separately. All SNPs were evaluated first by comparing the heterozygote and homozygote variant to the homozygote wildtype and

Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.  
Copyright © 2010 American Association for Cancer Research

subsequently assessing the likelihood of the dominant and recessive models of inheritance; the best fitting model is presented(20). P values from the unadjusted Max Test were used to adjust for multiple comparisons of tagSNPs using the methods by Conneely and Boehnke [20] (20, 21). Minimal adjustments were made for age, sex, race, and study center. Additional adjustments for BMI (kg/m<sup>2</sup>), physical activity, use of aspirin or NSAIDs within two years of the referent period, and cigarette smoking status (ever or never regularly smoked) did not alter associations.

Stepwise regression models were used to identifying tagSNPs that contributed uniquely and most significantly to the overall fit of the model for colon and rectal as well as to identify potential confounding of tagSNPs within genes. Inclusion in the stepwise regression model was based on a score chi-square significance level of 0.05 while exclusion was determined based on a Wald chi-square 0.05 significance level. Subsequent analysis for interaction was based both on tagSNPs remaining in the final stepwise model and those identified as being important independently.

We evaluate interaction between *TGFβ1* and its receptor and *Smad1*, *Smad2*, *Smad3*, *Smad4*, *Smad7*, *IKKβ*, and *NFκB1*. Possible interactions between SNPs and sex, age (30-64 or 65-79), recent aspirin or NSAID use, estrogen status, BMI (<25, 25-30, >30), and cigarette smoking were evaluated given the hypothesized mechanisms proposed for these genes. Associations between colon cancer and *Smad7*, *IKKβ*, and *NFκB1* have been previously reported (8, 22). P values for interaction were determined by comparing a full model including an ordinal multiplicative interaction term to a reduced model without an interaction term using a likelihood ratio test; a categorical model was used for *TGFβ1* rs4803455 and smoking and for *Smad2* rs1792689 and *TGFβR1* rs1571590. Haplotypes based on the SNPs being identified as significant for each gene were examined with both environmental and gene interactions but did



not yield any more meaningful results than looking at the individual SNPs and therefore are excluded..

Tumors were defined by specific alterations detected; any *TP53* mutation, any *KRAS2* mutation, MSI+, or CIMP+ defined as at least two of five markers methylated. As the proportion of MSI+ tumors in the rectal cases was <3% (23), there was insufficient power to examine these tumor markers with genotype data. Population-based controls were used to assess associations for the population overall when examining multiple outcomes defined by tumor status. In addition to identifying variants that contributed to a given phenotype independently, a stepwise regression of all SNPs per gene was implemented in SAS using the logistic procedure for each individual tumor type.

Time of survival was determined based on date of diagnosis and date of last contact or death, truncated at five years, the time period which is most meaningful for assessment of impact with colorectal cancer. Associations between SNPs and risk of dying of colorectal cancer within five years from diagnosis were evaluated using Cox proportional hazards models to provide multivariate hazard rate ratios (HRRs) and 95% confidence intervals adjusted for age at diagnosis, study center, race, sex, AJCC stage, and tumor markers. HRRs were assessed for SNPs independently and using stepwise regression via the phreg procedure adjusting for other SNPs.

## Results

Table 1 describes the genes and corresponding SNPs associated independently, through interaction, or with tumor markers. All SNPs were in HWE. SNPs that were independently associated with colon or rectal cancer overall are shown in Figure 1. As shown in the figure, the following associations were observed for colon cancer: OR 1.25 (95% CI 1.03,1.51) TT vs AA for *Smad2* rs1787199; OR 1.33 (95% CI 1.06,1.67) CC vs TT for *Smad2* rs4940086; OR 0.68 (95% CI 0.55, 0.85) for AG/GG vs AA for *Smad3* rs12901071; OR 0.69

(95% CI 0.57,0.84) CC vs AA for *Smad3* rs1498506; OR 0.76 (95% CI 0.59,0.98) for AA vs GG/GA for *Smad3* rs7163381, adjusted for rs1498506; OR 0.68 (95% CI 0.47,0.97) CC vs GG/GC for *Smad3* rs2414937; OR 0.65 (95% CI 0.51,0.84) for AA vs GG for *TGFβ1* rs1800469; OR 1.43 (95% CI 1.18,1.73) for AA vs CC for *TGFβ1* rs4803455; OR 0.85 (95% CI 0.74,0.99) for TA/AA vs TT for *TGFBR1* rs6478974. After adjustment for multiple comparisons, *Smad3* rs1498506 and rs12901071 remained statistically significant (adjusted p values of 0.0009 and 0.015 respectively). Because *TGFβ1* rs1800469 and rs4803455 were candidate SNPs, we did not adjust them for multiple comparisons.

The following associations were statistically significant for rectal cancer (Figure): OR 0.78 (95% CI 0.62,0.98) for CT/TT vs CC for *Smad2* rs1792689; and OR 1.81 (95% CI 1.12,2.91) for CC vs TT/TC for *Smad3* rs17293443. Although *Smad3* rs11071933 and rs1866317 were not statistically significant independently, after adjusting for rs17293443 and one another, risk estimates were 0.75 (95% CI's 0.61,0.93 and 1.28 (95% CI's 1.03,1.59) for the CG/GG vs CC genotypes respectively.

For colon cancer, we observed a statistically significant interaction between *Smad3* rs3825977 and *TGFβ1* rs1800469; and between *Smad2* rs4940086, *Smad3* rs17293443, and *Smad7* rs4939827 with *TGFβ1* rs4803455 (Table 2). Statistically significant interactions also were observed between both *TGFBR1* rs6478974 and rs1571590 with *IKBKB* rs37473811 and with *NFκB1* rs4648110 (Table 2). Statistically significant gene/gene interactions also were identified for rectal cancer (Table 3). *TGFβ1* rs1800469 interacted with *Smad3* rs211860 and rs4147358 (Table 3); *TGFβ1* rs4803455 and *TGFBR1* rs105733710 interacted with *NFκB1* rs4648110 and rs13117745; *TGFBR1* rs1571590 interacted significantly with *Smad2* rs1792689.

Several variants within the TGF-β-signaling pathway interacted with lifestyle factors hypothesized as influencing this pathway. Statistically significant interactions with cigarette smoking and colon cancer were observed for *TGFβ1* rs4803455, *TGFBR1* 10733710 and

rs1571590 (Table 4). As previously noted, the AA genotype of *TGFβ1* rs4803455 increased risk of colon cancer overall, but the increase in risk was especially dramatic among recent smokers (OR 2.09 95% CI 1.47,2.96). The GG genotype of *TGFβR1* rs1571590 was associated with increased colon cancer risk among non-smokers/former smokers while there was a trend towards reduced risk among recent cigarette smokers for the same genotype. The A allele of *TGFβ1* rs1800469 was observed as increasing rectal cancer risk among recent smokers.

The *TGFβR1* rs6478974 A allele was associated with reduced risk of colon cancer among those who recently used aspirin/NSAID and had no effect among non-aspirin/NSAID users (Table 4). *Smad3* rs3743343 interacted significantly with aspirin/NSAID for both colon and rectal cancer although the direction of the association was different for the two cancer sites. Statistically significant interactions were observed for *Smad3* rs7173811 and aspirin/NSAIDs for colon cancer and both *Smad3* rs7163381 and rs11071933 and rectal cancer. Among these SNPs, those who had the variant allele were at increased risk if they did not use aspirin/NSAID regularly but were at significantly reduced risk if they used aspirin/NSAIDs regularly.

Among women recently exposed to estrogen, the A allele of *TGFβ1* rs1800469 was associated with a reduced risk of colon cancer and the C allele of rs4803455 was associated with a decreased risk of rectal cancer (Table 4). Likewise, both variants of *Smad4*, rs10502913 and rs8096092, were associated with increased risk of rectal cancer among men, while reducing risk among women.

Unique sets of *Smad2*, *Smad3*, *TGFβ1*, and *TGFβR1* SNPs were associated with tumor phenotypes for colon and rectal cancer (Table 5). Among colon cancer cases, the risk of a CIMP+ tumor was associated with both *Smad2* and *Smad3*. *TGFβ1* rs1800469 was associated with a decreased risk for all colon tumor phenotypes except CIMP+, although not associated with rectal molecular phenotype. *TP53*-mutated colon tumors were associated with *Smad2* rs4940086 and *Smad3* rs7176870. MSI+ colon tumors were associated with *Smad2* rs1792689

and rs1787199 and *Smad3* rs12901071 and rs731874. For rectal cancer, *Smad3* rs893473 was associated with an increased likelihood of a CIMP+ tumor (OR 3.6 95% CI 1.62,7.98) for the TT genotype relative to CC/CT; rs991157 AA vs GG/GA was associated with a statistically significant increased risk of a *KRAS2*-mutated tumor (OR 1.63 95% CI 1.03,2.79). The *TGF $\beta$ 1* rs10733710 GA/AA genotype was associated with increased risk for both CIMP+ tumors and *TP53*-mutated tumors.

Variation in *TGF $\beta$ 1*, *Smad1*, *Smad2*, and *Smad4* were not associated with survival after diagnosis (data not shown in table). Four SNPs were associated with colon cancer survival: *TGF $\beta$ 1* rs10733710 GA/AA vs GG HRR 0.73 95% CI 0.57,0.95; and three *Smad3* SNPs, rs11639295 TT vs CC/CT HRR 0.46, 95% CI 0.27,0.80; rs12708492 CT/TT vs CC HRR 1.78 95% CI 1.27,2.50, and rs2414937 CC vs GG HRR 2.54 95% CI 1.29,3.95. For rectal cancer, four SNPs also were associated with survival, although the associated SNPs were different than those that were associated with colon cancer. For rectal cancer the associations were: *TGF $\beta$ 1* rs6478974 AA vs TT genotype HRR 1.73 95% CI 1.08,2.78 and rs1571590 AG/GG vs AA genotype HRR 0.64 95% CI 0.43,0.95; *Smad3* rs12904944 GA/AA vs GG HRR 1.45 95% CI 1.03,2.04 and rs3825977 CT/TT vs CC genotype HRR 1.55 95% CI 1.10,2.18).

## Discussion

The TGF- $\beta$ -signaling pathway is thought to play a critical role in the carcinogenic process because of its involvement in the regulation of cell growth, differentiation, proliferation, and apoptosis (24). TGF- $\beta$  exerts its physiological effect by activating its receptors. Once the TGF- $\beta$  receptor complex is activated, intracellular signaling is initiated. The TGF- $\beta$  receptor complex activates the Smad-signaling pathway by directly phosphorylating Smad2 and Smad3 that work in conjunction with Smad4 (25). Genetic variation in *TGF $\beta$ 1* was associated with an increased risk of colon cancer, but not rectal cancer, in this study. Our evaluation of genetic variation in TGF- $\beta$ -signaling pathway showed several variants associated with colon and rectal

cancer, acting independently as well as modifying the effect of other genetic and lifestyle factors.

A major function of TGF- $\beta$  is mediating intracellular actions of pro-inflammatory cytokines, including activation of NF $\kappa$ B (2, 3). Deficiency of TGF- $\beta$  has been shown to lead to extensive inflammation (2). Inflammation status of the gut appears to play a critical role in the etiology of colon and rectal cancers (26). Our data support the role of TGF- $\beta$  in an inflammation-related pathway given the interaction between genetic variants of *NF $\kappa$ B1* and *TGF $\beta$ 1* and *TGF $\beta$ R1* for both colon and rectal cancer. NF $\kappa$ B is an important nuclear transcription factor that regulates a large number of cytokines and is critical for the regulation of inflammation; increased transcription of NF $\kappa$ B can increase inflammation and angiogenesis as well as cell survival and growth (27). I $\kappa$ B $\kappa$ B is a key regulator of NF $\kappa$ B's transcriptional activity (28); I $\kappa$ B $\kappa$ B proteins are inhibitors of NF $\kappa$ B (27). In addition to the interaction between other genes involved in the regulation of inflammation and variants in the TGF- $\beta$ -signaling pathway, we observed significant interaction with recent use of aspirin/NSAID and *TGF $\beta$ R1* rs6478974 and risk of colon cancer, further supporting an inflammation-related mechanism.

It has been hypothesized that cigarette smoking can influence inflammation via enhanced oxidative stress. Furthermore, cigarette smoke has been shown to regulate the effect of various cytokines, including TGF- $\beta$  (29-31). We observed statistically significant interaction between *TGF $\beta$ 1* and *TGF $\beta$ R1* variants and cigarette smoke and colon cancer, thus supporting this link in a population-based study. We also observed statistically significant interaction between estrogen and *TGF $\beta$ 1* rs4803455. Estrogen has many physiological properties and has been shown to influence both inflammation and insulin (32, 33).

One of the major mechanisms of TGF- $\beta$  signaling is through a Smad-dependent pathway (6); Smad7 promotes the anti-inflammatory action of the TGF- $\beta$ -signaling pathway (6). Thus, we evaluated how genetic variants between *TGF $\beta$ 1* and *TGF $\beta$ R1* were associated with

*Smad2*, *Smad3*, *Smad4*, and *Smad7*. We have previously reported on independent associations between *Smad7* and colon cancer (34). In this paper, we provide information on *Smad2*, *Smad3*, and *Smad4* which have been hypothesized as important components of the TGF- $\beta$ -signaling pathway (35), as well as evaluate how *Smad7* interacts with other genes in the pathway. Both *Smad2* and *Smad3* showed independent associations with colon cancer; however, several variants also showed consistent associations with CIMP+ tumors. *Smad* has been associated with epigenetic silencing in other cancers (36). *Smad2* and *Smad7* interacted significantly with *TGF $\beta$ 1* and *TGF $\beta$ R1* further supporting the importance of multiple elements of the TGF- $\beta$ -signaling pathway in the etiology of colon and rectal cancer.

Both *TGF $\beta$ R1* and *Smad3* were associated with survival after diagnosis with colon and rectal cancer. We evaluated genetic variations in our candidate pathway because of its documented role in cell differentiation, metastasis, and survival (37-39). These associations were detected independent of stage at time of diagnosis and tumor characteristics. While many SNPs were associated with survival, the ones of most importance often varied after diagnosis with colon versus rectal cancer. It is not readily clear why these differences were observed, however, many differences have been detected previously for colon and rectal cancer suggesting different elements to their etiology and possible prognosis.

There are many strengths and limitations to this study. Others have evaluated polymorphisms in *TGF $\beta$ 1* with colorectal cancer and have found some associations with some polymorphisms (40, 41). In our study we were able to thoroughly evaluate this candidate pathway, using both tagSNP and haplotype analysis, looking at colon and rectal cancer separately, and evaluating associations that may be unique to certain tumor molecular phenotypes. The data are extensive and allow us to evaluate interactions with hypothesized genes as well as with hypothesized lifestyle factors. This approach has enabled us to acquire a more comprehensive understanding of the TGF- $\beta$ -signaling pathway and colon and rectal

cancer. Although the candidate pathway and specific genes were hypothesize *a priori* as being associated with colon and rectal cancer, the process of a thorough evaluation lead to many comparisons. Replication of these findings in other studies is therefore needed.

Our data suggest that the TGF- $\beta$ -signaling pathway in conjunction with Smad is an important component of colon and rectal cancer risk and survival after diagnosis. Environmental factors, such as smoking cigarettes and using aspirin/NSAIDs, modulate this risk. Also of importance is the finding that some of these genes preferentially influenced the development of CIMP+ tumors, providing additional information on the carcinogenic process. Support for these findings from other similar studies is necessary to verify these associations.

## References

1. Gordon KJ, Blobel GC. Role of transforming growth factor-beta superfamily signaling pathways in human disease. *Biochim Biophys Acta* 2008; 1782: 197-228.
2. Hong S, Lee C, Kim SJ. Smad7 sensitizes tumor necrosis factor induced apoptosis through the inhibition of antiapoptotic gene expression by suppressing activation of the nuclear factor-kappaB pathway. *Cancer Res* 2007; 67: 9577-83.
3. Halder SK, Beauchamp RD, Datta PK. Smad7 induces tumorigenicity by blocking TGF-beta-induced growth inhibition and apoptosis. *Exp Cell Res* 2005; 307: 231-46.
4. Yang G, Yang X. Smad4-mediated TGF-beta signaling in tumorigenesis. *International journal of biological sciences*; 6: 1-8.
5. Miyaki M, Kuroki T. Role of Smad4 (DPC4) inactivation in human cancer. *Biochem Biophys Res Commun* 2003; 306: 799-804.
6. ten Dijke P, Hill CS. New insights into TGF-beta-Smad signalling. *Trends in biochemical sciences* 2004; 29: 265-73.
7. Broderick P, Carvajal-Carmona L, Pittman AM, et al. A genome-wide association study shows that common alleles of SMAD7 influence colorectal cancer risk. *Nat Genet* 2007; 39: 1315-7.
8. Slattery ML, Herrick J, Curtin K, et al. Increased risk of colon cancer associated with a genetic polymorphism of SMAD7. *Cancer Res*; 70: 1479-85.
9. Khansari N, Shakiba Y, Mahmoudi M. Chronic inflammation and oxidative stress as a major cause of age-related diseases and cancer. *Recent patents on inflammation & allergy drug discovery* 2009; 3: 73-80.
10. Slattery ML, Potter JD, Duncan DM, Berry TD. Dietary fats and colon cancer: assessment of risk associated with specific fatty acids. *International journal of cancer* 1997; 73: 670-7.
11. Slattery ML, Caan BJ, Benson J, Murtaugh M. Energy balance and rectal cancer: an evaluation of energy intake, energy expenditure, and body mass index. *Nutrition and cancer* 2003; 46: 166-71.
12. Slattery ML, Potter J, Caan B, et al. Energy balance and colon cancer--beyond physical activity. *Cancer Res* 1997; 57: 75-80.
13. Slattery ML, Edwards S, Curtin K, et al. Physical activity and colorectal cancer. *Am J Epidemiol* 2003; 158: 214-24.
14. Edwards S, Slattery ML, Mori M, et al. Objective system for interviewer performance evaluation for use in epidemiologic studies. *Am J Epidemiol* 1994; 140: 1020-8.
15. Samowitz WS, Curtin K, Ma KN, et al. Prognostic significance of p53 mutations in colon cancer at the population level. *Int J Cancer* 2002; 99: 597-602.
16. Slattery ML, Curtin K, Anderson K, et al. Associations between cigarette smoking, lifestyle factors, and microsatellite instability in colon tumors. *J Natl Cancer Inst* 2000; 92: 1831-6.
17. Samowitz WS, Curtin K, Schaffer D, Robertson M, Leppert M, Slattery ML. Relationship of Ki-ras mutations in colon cancers to tumor location, stage, and survival: a population-based study. *Cancer Epidemiol Biomarkers Prev* 2000; 9: 1193-7.
18. Slattery ML, Curtin K, Sweeney C, et al. Diet and lifestyle factor associations with CpG island methylator phenotype and BRAF mutations in colon cancer. *Int J Cancer* 2007; 120: 656-63.

Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.  
Copyright © 2010 American Association for Cancer Research



19. Zha Y, Leung KH, Lo KK, et al. TGFBI as a susceptibility gene for high myopia: a replication study with new findings. *Archives of ophthalmology* 2009; 127: 541-8.
20. Freidlin B, Zheng G, Li Z, Gastwirth JL. Trend tests for case-control studies of genetic markers: power, sample size and robustness. *Hum Hered* 2002; 53: 146-52.
21. Conneely KN, Boehnke M. So Many Correlated Tests, So Little Time! Rapid Adjustment of P Values for Multiple Correlated Tests. *Am J Hum Genet* 2007; 81: 1158-68.
22. Curtin K, Wolff RK, Herrick JS, Abo R, Slattery ML. Exploring multilocus associations of inflammation genes and colorectal cancer risk using hapConstructor. Under review 2010.
23. Slattery ML, Curtin K, Wolff RK, et al. A comparison of colon and rectal somatic DNA alterations. *Dis Colon Rectum* 2009; 52: 1304-11.
24. Elliott RL, Blobe GC. Role of transforming growth factor Beta in human cancer. *J Clin Oncol* 2005; 23: 2078-93.
25. Rojas A, Padidam M, Cress D, Grady WM. TGF-beta receptor levels regulate the specificity of signaling pathway activation and biological effects of TGF-beta. *Biochim Biophys Acta* 2009; 1793: 1165-73.
26. Slattery ML, Fitzpatrick FA. Convergence of hormones, inflammation, and energy-related factors: a novel pathway of cancer etiology. *Cancer prevention research (Philadelphia, Pa)* 2009; 2: 922-30.
27. Kandel ES. NFkappaB inhibition and more: a side-by-side comparison of the inhibitors of IKK and proteasome. *Cell cycle (Georgetown, Tex)* 2009; 8: 1819-20.
28. Parker KM, Ma MH, Manyak S, et al. Identification of polymorphisms of the IkappaBalpha gene associated with an increased risk of multiple myeloma. *Cancer Genet Cytogenet* 2002; 137: 43-8.
29. Sarir H, Mortaz E, Karimi K, et al. Cigarette smoke regulates the expression of TLR4 and IL-8 production by human macrophages. *Journal of inflammation (London, England)* 2009; 6: 12.
30. Kode A, Yang SR, Rahman I. Differential effects of cigarette smoke on oxidative stress and proinflammatory cytokine release in primary human airway epithelial cells and in a variety of transformed alveolar epithelial cells. *Respiratory research* 2006; 7: 132.
31. Marwick JA, Kirkham P, Gilmour PS, Donaldson K, Mac NW, Rahman I. Cigarette smoke-induced oxidative stress and TGF-beta1 increase p21waf1/cip1 expression in alveolar epithelial cells. *Ann N Y Acad Sci* 2002; 973: 278-83.
32. Nilsson BO. Modulation of the inflammatory response by estrogens with focus on the endothelium and its interactions with leukocytes. *Inflamm Res* 2007; 56: 269-73.
33. Clayton SJ, May FE, Westley BR. Insulin-like growth factors control the regulation of oestrogen and progesterone receptor expression by oestrogens. *Mol Cell Endocrinol* 1997; 128: 57-68.
34. Slattery ML HJ, Curtin K, Samowitz W, Wolff RK, Caan BJ, Duggan D, Potter JD, Peters U. SMAD7 and colon cancer. *Cancer Research* 2009: (in press).
35. Daly AC, Vizan P, Hill CS. Smad3 protein levels are modulated by Ras activity and during the cell cycle to dictate transforming growth factor-beta responses. *The Journal of biological chemistry*; 285: 6489-97.
36. Papageorgis P, Lambert AW, Ozturk S, et al. Smad signaling is required to maintain epigenetic silencing during breast cancer progression. *Cancer research*; 70: 968-78.
37. Joshi A, Cao D. TGF-beta signaling, tumor microenvironment and tumor progression: the butterfly effect. *Front Biosci*; 15: 180-94.

Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.  
Copyright © 2010 American Association for Cancer Research

38. Petersen M, Pardali E, van der Horst G, et al. Smad2 and Smad3 have opposing roles in breast cancer bone metastasis by differentially affecting tumor angiogenesis. *Oncogene*; 29: 1351-61.
39. Roberts AB, Tian F, Byfield SD, et al. Smad3 is key to TGF-beta-mediated epithelial-to-mesenchymal transition, fibrosis, tumor suppression and metastasis. *Cytokine & growth factor reviews* 2006; 17: 19-27.
40. Olaru A, Mori Y, Yin J, et al. Loss of heterozygosity and mutational analyses of the ACTRII gene locus in human colorectal tumors. *Laboratory investigation; a journal of technical methods and pathology* 2003; 83: 1867-71.
41. Skoglund J, Song B, Dalen J, et al. Lack of an association between the TGFBR1\*6A variant and colorectal cancer risk. *Clin Cancer Res* 2007; 13: 3748-52.

Figure 1. Associations between SNPs in the TGF- $\beta$ -signaling pathway and colon and rectal cancer

Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.  
Copyright © 2010 American Association for Cancer Research

Table 1. Summary of SNPs

Gene	Alias	Location	SNP	Major/ Minor Allele	MAF <sup>1</sup>	Colon		Rectal		
						Heterozygote OR (95% CI)	Homozygote Rare OR (95% CI)	Heterozygote OR (95% CI)	Homozygote Rare OR (95% CI)	
<i>Smad2</i>	MAD2	18q21.1	rs1787199	A/T	0.46	1.08 (0.92, 1.26)	1.24 (1.03, 1.51)	0.85 (0.69, 1.05)*		
	MADH2		rs1792689	C/T	0.13	0.96 (0.82, 1.12)	1.30 (0.79, 2.13)	0.78 (0.62, 0.98)*		
	JV18		rs4940086	T/C	0.33	1.08 (0.94, 1.25)	1.33 (1.06, 1.66)	1.00 (0.81, 1.23)	1.05 (0.77, 1.42)	
<i>Smad3</i>	MAD3	15q22.33	rs750766	G/A	0.48	0.98 (0.84, 1.15)	0.93 (0.77, 1.13)	1.09 (0.89, 1.34)*		
	MADH3		rs893473	C/T	0.17	0.97 (0.84, 1.13)	0.99 (0.69, 1.40)		1.09 (0.73, 1.62)**	
	JV15-2		rs991157	G/A	0.3		0.93 (0.73, 1.18)**		1.11 (0.79, 1.55)**	
			rs1498506	A/C	0.48	0.87 (0.75, 1.02)	0.69 (0.57, 0.84)	1.10 (0.88, 1.38)	0.96 (0.72, 1.26)	
			rs1866317	C/G	0.11	0.98 (0.83, 1.16)	0.92 (0.48, 1.76)	1.12 (0.88, 1.42)	1.65 (0.77, 3.54)	
			rs2118610	G/A	0.45	1.11 (0.95, 1.29)	0.94 (0.78, 1.14)	1.02 (0.82, 1.27)	1.02 (0.77, 1.36)	
			rs2118611	A/G	0.2	1.03 (0.90, 1.19)*		0.89 (0.73, 1.09)*		
			rs2414937	G/C	0.2		0.68 (0.47, 0.97)**	1.01 (0.82, 1.24)	1.02 (0.63, 1.67)	
			rs3743343	T/C	0.24	1.10 (0.95, 1.26)	1.15 (0.87, 1.52)	0.97 (0.79, 1.19)	1.10 (0.77, 1.58)	
			rs3825977	C/T	0.19	0.95 (0.82, 1.11)	1.01 (0.72, 1.42)	0.96 (0.78, 1.18)	0.76 (0.47, 1.24)	
			rs4147358	C/A	0.22	1.08 (0.93, 1.24)	0.99 (0.73, 1.33)	1.03 (0.84, 1.27)	0.89 (0.60, 1.33)	
			rs4776892	A/T	0.18	1.02 (0.89, 1.18)*		0.94 (0.76, 1.16)	1.14 (0.69, 1.88)	
			rs7163381	G/A	0.26		0.76 (0.59, 0.98)**		1.11 (0.79, 1.56)**	
			rs7176870	A/G	0.43	1.08 (0.93, 1.24)*		1.16 (0.94, 1.42)*		
			rs11071933	C/G	0.33	1.04 (0.90, 1.20)		0.84 (0.69, 1.03)*		
	rs12901071	A/G	0.34		0.68 (0.55, 0.85)**		0.80 (0.57, 1.10)**			
	rs17293443	T/C	0.22		0.84 (0.60, 1.18)**		1.81 (1.12, 2.91)**			
<i>Smad4</i>	DPC4	18q21.1	rs10502913	G/A	0.24	1.03 (0.89, 1.19)	0.81 (0.61, 1.08)	1.02 (0.83, 1.25)	1.09 (0.72, 1.67)	
	MADH4									
<i>TGFβ1</i>	TGFB	19q13.1	rs1800469	G/A	0.31	0.89 (0.78, 1.03)	0.65 (0.51, 0.84)	1.02 (0.84, 1.23)*		
			rs4803455	C/A	0.48	1.25 (1.06, 1.47)	1.43 (1.18, 1.73)	1.06 (0.84, 1.32)	1.04 (0.79, 1.35)	
<i>TGFβR1</i>	ALK-5	9q22	rs1571590	A/G	0.2	0.95 (0.82, 1.10)	1.39 (0.98, 1.96)	0.91 (0.74, 1.12)	1.42 (0.85, 2.39)	
	SKR4		rs6478974	T/A	0.49	0.85 (0.74, 0.99)*		0.85 (0.69, 1.05)*		
	LDS1A		rs10733710	G/A	0.2	1.07 (0.93, 1.23)*		1.17 (0.96, 1.43)*		
	AAT5									

<sup>1</sup>Minor Allele Frequency (MAF) based on white control population.

\*Dominant Model

\*\*Recessive Model

Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.

Copyright © 2010 American Association for Cancer Research

Table 2. Interaction between variants in the TGF- $\beta$ -signaling pathway and *NF $\kappa$ B1* and *IKB $\kappa$ B* and risk of colon cancer<sup>1</sup>

	Controls		Cases	OR	(95% CI)	Controls		Cases	OR	(95% CI)	Controls		Cases	OR	(95% CI)
	N	N				N	N				N	N			
	<i>TGF<math>\beta</math>1</i> rs1800469														
	GG				GA				AA						
<i>Smad3</i> rs3825977															
CC	610	521	1.00			542	419	0.92	(0.77, 1.09)			116	80	0.80	(0.58, 1.08)
CT	277	230	0.99	(0.80, 1.23)		259	201	0.90	(0.72, 1.12)			68	35	0.57	(0.37, 0.88)
TT	30	43	1.67	(1.03, 2.70)		35	19	0.64	(0.36, 1.14)			13	2	0.17	(0.04, 0.75)
P Interaction			<0.01												
	<i>TGF<math>\beta</math>1</i> rs4803455														
	CC				CA				AA						
<i>Smad2</i> rs4940086															
TT	232	152	1.00			465	360	1.21	(0.94, 1.55)			207	170	1.27	(0.95, 1.70)
TC	228	162	1.10	(0.83, 1.47)		425	335	1.24	(0.96, 1.59)			196	180	1.46	(1.09, 1.95)
CC	58	26	0.71	(0.43, 1.18)		105	113	1.71	(1.22, 2.39)			29	50	2.72	(1.64, 4.49)
P Interaction			0.02												
<i>Smad3</i> rs17293443															
TT/TC	485	330	1.00			947	779	1.23	(1.04, 1.45)			420	380	1.35	(1.11, 1.64)
CC	33	10	0.46	(0.22, 0.94)		48	29	0.93	(0.57, 1.51)			11	20	2.92	(1.38, 6.19)
P interaction			<0.01												
<i>Smad7</i> rs4939827															
TT	115	106	1.00			255	225	0.99	(0.72, 1.37)			123	110	0.99	(0.69, 1.44)
TC/CC	403	233	0.63	(0.46, 0.86)		738	582	0.87	(0.65, 1.16)			309	290	1.04	(0.76, 1.42)
P Interaction			0.02												
	<i>TGF<math>\beta</math>R1</i> rs6478974														
	CC				CA				AA						
<i>IKB<math>\kappa</math>B</i> rs3747811															
TT	148	155	1.00			255	208	0.78	(0.58, 1.05)			124	84	0.66	(0.46, 0.95)
TA	270	219	0.78	(0.58, 1.04)		472	352	0.72	(0.55, 0.95)			250	171	0.67	(0.49, 0.90)
AA	115	104	0.87	(0.61, 1.24)		239	171	0.69	(0.51, 0.94)			83	90	1.04	(0.71, 1.52)
P Interaction			0.04												
<i>NF<math>\kappa</math>B1</i> rs4648110															
TT	346	289	1.00			615	474	0.93	(0.76, 1.14)			282	233	1.01	(0.80, 1.28)
TA	163	175	1.29	(0.99, 1.68)		311	234	0.91	(0.72, 1.15)			156	105	0.82	(0.61, 1.10)

Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.

Copyright © 2010 American Association for Cancer Research

AA	24	14	0.71	(0.36, 1.40)	40	23	0.69	(0.40, 1.18)	19	7	0.47	(0.20, 1.15)
P Interaction			0.04									
					<i>TGFβ1</i> rs1571590							
					AA							
					AG							
					GG							
<i>IKBκB</i> rs3747811 <sup>2</sup>												
TT	347	268	1.00		166	156	1.24	(0.94, 1.62)	14	23	2.24	(1.13, 4.44)
TA	640	500	1.03	(0.85, 1.26)	317	209	0.86	(0.68, 1.09)	35	33	1.25	(0.75, 2.06)
AA	273	239	1.14	(0.90, 1.44)	147	111	1.01	(0.75, 1.36)	17	16	1.24	(0.61, 2.51)
P Interaction			0.04									

<sup>1</sup>Associations adjusted for age, sex, center and race.

<sup>2</sup>Similar associations were observed for *NFκB1* rs13117745(C>T), p interaction 0.02.

Table 3. Associations between variants in the TGF- $\beta$ -signaling pathway and *NFkB1* and *Smad2* and *Smad3* and rectal cancer risk<sup>1</sup>

	Controls		Cases	OR	(95% CI)		Controls		Cases	OR	(95% CI)		
	N	N					N	N					
	<i>TGF<math>\beta</math>1</i> rs1800469												
	GG				GA				AA				
<i>Smad3</i> rs2118610 <sup>2</sup>													
GG	158	119	1.00			140	104	0.99	(0.70 1.40)	23	35	1.95	(1.09 3.48)
GA	224	166	1.03	(0.75 1.40)	178	156	1.18	(0.86 1.63)	52	35	0.91	(0.56 1.49)	
AA	83	72	1.22	(0.82 1.81)	76	61	1.11	(0.73 1.68)	19	4	0.29	(0.10 0.88)	
P Interaction	0.01												
<i>Smad3</i> rs4147358 <sup>3</sup>													
CC	254	204	1.00			220	181	1	(0.76 1.31)	63	31	0.58	(0.36 0.93)
CA	184	137	0.89	(0.89 1.19)	138	115	0.99	(0.72 1.35)	26	34	1.59	(0.92 2.74)	
AA	27	16	0.67	(0.35 1.28)	36	25	0.83	(0.48 1.43)	5	9	1.96	(0.64 6.03)	
P Interaction	<0.01												
	<i>TGF<math>\beta</math>1</i> rs4803455												
	CC				CA				AA				
<i>NFkB1</i> rs13117745 <sup>4</sup>													
CC	201	130	1.00			339	274	1.25	(0.95, 1.65)	155	132	1.35	(0.98, 1.86)
CT/TT	52	66	2.00	(1.30, 3.06)	142	105	1.18	(0.84, 1.65)	69	44	1	(0.64, 1.55)	
P Interaction	<.01												
	<i>TGF<math>\beta</math>R1</i> rs10733710												
	GG				GA/AA								
<i>NFkB1</i> rs4648110 <sup>5</sup>													
TT	405	270	1.00			207	201	1.45	(1.13, 1.86)				
TA/AA	210	184	1.33	(1.04, 1.72)	136	98	1.08	(0.80, 1.47)					
P Interaction	<0.01												
	<i>TGF<math>\beta</math>R1</i> rs1571590												
	AA				AG				GG				
<i>Smad2</i> rs1792689													
CC	455	379	1.00			241	183	0.91	(0.72, 1.16)	15	28	2.42	(1.27, 4.61)
CT/TT	156	112	0.84	(0.63, 1.11)	78	48	0.75	(0.51, 1.11)	14	4	0.32	(0.10, 0.99)	
P Interaction	0.003												

<sup>1</sup>Association adjusted for age, sex, race and center.

<sup>2</sup>Similar associations were observed for *SMAD3* rs991157(G>A), p interaction <0.01.

<sup>3</sup>Similar associations were observed for *SMAD3* rs745103(T>A), p interaction <0.01.

<sup>4</sup>Similar associations were observed for *NFkB1* rs4648110(T>A), p interaction 0.02.

<sup>5</sup>Similar associations were observed for *NFkB1* rs13117745(C>T), p interaction 0.01.

Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.

Copyright © 2010 American Association for Cancer Research

Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.  
Copyright © 2010 American Association for Cancer Research



Table 4. Interaction between genetic variants in the TGF- $\beta$ -signaling pathway and lifestyle factors and risk of colon and rectal cancer

	Controls		Cases		OR <sup>1</sup>	(95% CI)	Controls		Cases	
	N	N	N	N			N	N	OR <sup>1</sup>	(95% CI)
<b>Colon Cancer</b>										
	<b>Never Smoker/Former Smoker</b>						<b>Recent Smoker</b>			
<i>TGF<math>\beta</math>1</i> (rs4803455)										
CC	422	274	1.00				96	66	1.04	(0.74, 1.48)
CA	815	650	1.25	(1.04, 1.50)			180	155	1.31	(1.01, 1.71)
AA	363	306	1.33	(1.07, 1.65)			68	94	2.09	(1.47, 2.96)
P Interaction			0.05							
<i>TGF<math>\beta</math>R1</i> (rs10733710)										
GG	1004	775	1.00				223	178	0.99	(0.79, 1.24)
GA/AA	586	452	0.99	(0.85, 1.16)			120	138	1.46	(1.12, 1.90)
P Interaction			0.03							
<i>TGF<math>\beta</math>R1</i> (rs1571590)										
AA	1053	797	1.00				206	207	1.29	(1.04, 1.60)
AG	505	376	0.99	(0.84, 1.17)			125	101	1.04	(0.78, 1.37)
GG	51	62	1.63	(1.10, 2.37)			15	10	0.88	(0.39, 1.98)
P Interaction			0.05							
<b>Rectal Cancer</b>										
<i>TGF<math>\beta</math>1</i> (rs1800469)										
GG	385	295	1.00				80	61	0.97	(0.67, 1.40)
GA/AA	419	305	0.93	(0.75, 1.15)			69	87	1.58	(1.11, 2.24)
P Interaction			0.03							
<b>Colon Cancer</b>	<b>No Recent Aspirin/NSAID Use</b>						<b>Recent Aspirin NSAID Use</b>			
<i>TGF<math>\beta</math>R1</i> (rs6478974)										
TT	329	313	1.00				202	160	0.83	(0.64, 1.07)
TA	554	502	0.95	(0.78, 1.16)			401	223	0.59	(0.47, 0.74)
AA	253	238	1.01	(0.79, 1.28)			201	101	0.54	(0.40, 0.71)
P Interaction			0.03							
<i>Smad3</i> (rs3743343)										
TT	663	567	1.00				462	291	0.73	(0.61, 0.88)
TC	402	401	1.15	(0.96, 1.38)			295	177	0.70	(0.56, 0.87)
CC	70	85	1.43	(1.02, 2.00)			47	18	0.45	(0.26, 0.78)
P Interaction			0.02							
<i>Smad3</i> (rs7173811)										
CC	323	263	1.00				228	147	0.79	(0.61, 1.03)
CT	549	520	1.15	(0.94, 1.41)			378	235	0.77	(0.61, 0.96)
TT	264	270	1.24	(0.98, 1.57)			198	104	0.63	(0.47, 0.85)
P Interaction			0.03							
<b>Rectal Cancer</b>										
<i>Smad3</i> (rs3743343)										
TT	272	268	1.00				245	137	0.57	(0.44, 0.75)
TC	205	173	0.84	(0.65, 1.10)			156	105	0.69	(0.51, 0.93)
CC	44	36	0.81	(0.50, 1.30)			27	29	1.03	(0.59, 1.80)
P Interaction			0.01							
<i>Smad3</i> (rs7163381) <sup>2</sup>										
GG	268	229	1.00				206	151	0.87	(0.66, 1.14)

Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.  
 Copyright © 2010 American Association for Cancer Research

GA	219	198	1.04	(0.80, 1.35)	176	96	0.63	(0.46, 0.86)
AA	34	50	1.65	(1.02, 2.67)	46	24	0.58	(0.34, 0.99)
P Interaction			0.01					
<b>Colon Cancer</b>								
			<b>No Recent Estrogen Exposure</b>				<b>Recent Estrogen Exposure</b>	
<i>TGFβ1</i> (rs1800469)								
GG	253	209	1.00		653	579	0.78	(0.59, 1.03)
GA	213	200	1.16	(0.89, 1.51)	612	433	0.62	(0.47, 0.82)
AA	53	39	0.85	(0.54, 1.34)	141	77	0.47	(0.32, 0.68)
P Interaction			0.03					
<b>Rectal Cancer</b>								
<i>TGFβ1</i> (rs4803455)								
CC	40	40	1.00		213	155	0.53	(0.32, 0.90)
CA	84	76	0.89	(0.52, 1.53)	397	303	0.57	(0.34, 0.94)
AA	45	25	0.54	(0.28, 1.05)	179	151	0.64	(0.38, 1.08)
P Interaction			0.04					
<i>Smad4</i> (rs10502913) <sup>3</sup>								
			<b>Men</b>				<b>Women</b>	
GG	318	245	1.00		248	197	1.04	(0.81, 1.33)
GA	196	174	1.17	(0.90, 1.53)	144	94	0.86	(0.63, 1.17)
AA	26	32	1.57	(0.91, 2.72)	25	12	0.64	(0.31, 1.30)
P Interaction			0.02					

<sup>1</sup>Adjusted for age, center, race, and sex.

<sup>2</sup>Similar association observed for *Smad3* rs11071933; p interaction 0.03.

<sup>3</sup>Similar associations observed for *Smad4* rs8096092; p interaction 0.02.

Table 5. Associations between tumor molecular phenotype and *TGFβ* and *Smad* genes.

		Controls		Cases	
		N	N	OR <sup>1</sup>	(95% CI)
<b>Colon Tumors</b>					
<i>Smad2</i> (rs1787199) Note: Similar results for rs4940086	AA	601	64	1.00	
	AT/TT	1355	208	1.46	(1.09, 1.97)
<i>Smad3</i> <sup>2</sup> (rs2118611)	AA	1226	152	1.00	
	AG/GG	729	120	1.87	(1.26, 2.79)
<i>Smad3</i> (rs4776892)	AA	1288	175	1.00	
	AT/TT	667	97	0.63	(0.42, 0.95)
<b>KRAS2 Mutation</b>					
<i>TGFβ1</i> (rs4803455)	CC	526	74	1.00	
	CA/AA	1457	280	1.40	(1.06, 1.85)
<i>TGFβ1</i> (rs1800469)	GG	932	187	1.00	
	GA/AA	1046	166	0.78	(0.62, 0.98)
<b>TP53 Mutation</b>					
<i>Smad2</i> (rs4940086)	TT/TC	1762	449	1.00	
	CC	194	67	1.38	(1.02, 1.86)
<i>Smad3</i> (rs7176870)	AA	644	146	1.00	
	AG/GG	1311	371	1.28	(1.03, 1.59)
<i>TGFβ1</i> (rs4803455)	CC	526	111	1.00	
	CA	1014	267	1.27	(0.99, 1.63)
<i>TGFβ1</i> (rs1800469)	AA	443	144	1.56	(1.18, 2.07)
	GG	932	275	1.00	
	GA/AA	1046	243	0.78	(0.64, 0.95)
<b>MSI Unstable</b>					
<i>Smad2</i> (rs1792689) Note: Similar results for rs1787199	CC	1477	132	1.00	
	CT	448	45	1.12	(0.79, 1.60)
	TT	31	8	2.85	(1.28, 6.36)
<i>Smad3</i> <sup>2</sup> (rs12901071) Note: Similar results for rs731874	AA/AG	1716	174	1.00	
	GG	240	11	0.43	(0.23, 0.83)
<i>TGFβ1</i> (rs1800469)	GG	932	110	1.00	
	GA/AA	1046	80	0.64	(0.47, 0.86)
<b>Rectal Tumors</b>					
<b>CIMP+</b>					
<i>SMAD3</i> (rs893473)	CC/CT	899	49	1.00	
	TT	60	10	3.60	(1.62, 7.98)
<i>TGFβR1</i> (rs10733710)	GG	615	27	1.00	
	GA/AA	343	32	2.10	(1.24, 3.57)
<b>KRAS2 Mutation</b>					
<i>Smad3</i> (rs991157)	GG/GA	876	150	1.00	
	AA	83	23	1.69	(1.03, 2.79)
<b>TP53 Mutation</b>					
<i>Smad3</i> <sup>2</sup> (rs11071933)	CC	385	127	1.00	
	CG/GG	572	150	0.72	(0.54, 0.95)
<i>Smad3</i> (rs750766) Note: Similar results for rs12102171 & rs7176870	GG	304	70	1.00	
	GA/AA	653	207	1.49	(1.09, 2.04)
<i>TGFβR1</i> (rs10733710)	GG	615	155	1.00	
	GA/AA	343	105	1.40	(1.06, 1.84)

<sup>1</sup>Adjusted for age, center, sex, and race.

<sup>2</sup>TagSNPs presented for this gene are adjusted for one another.

Appendix. Summary of all genes and SNPs assessed

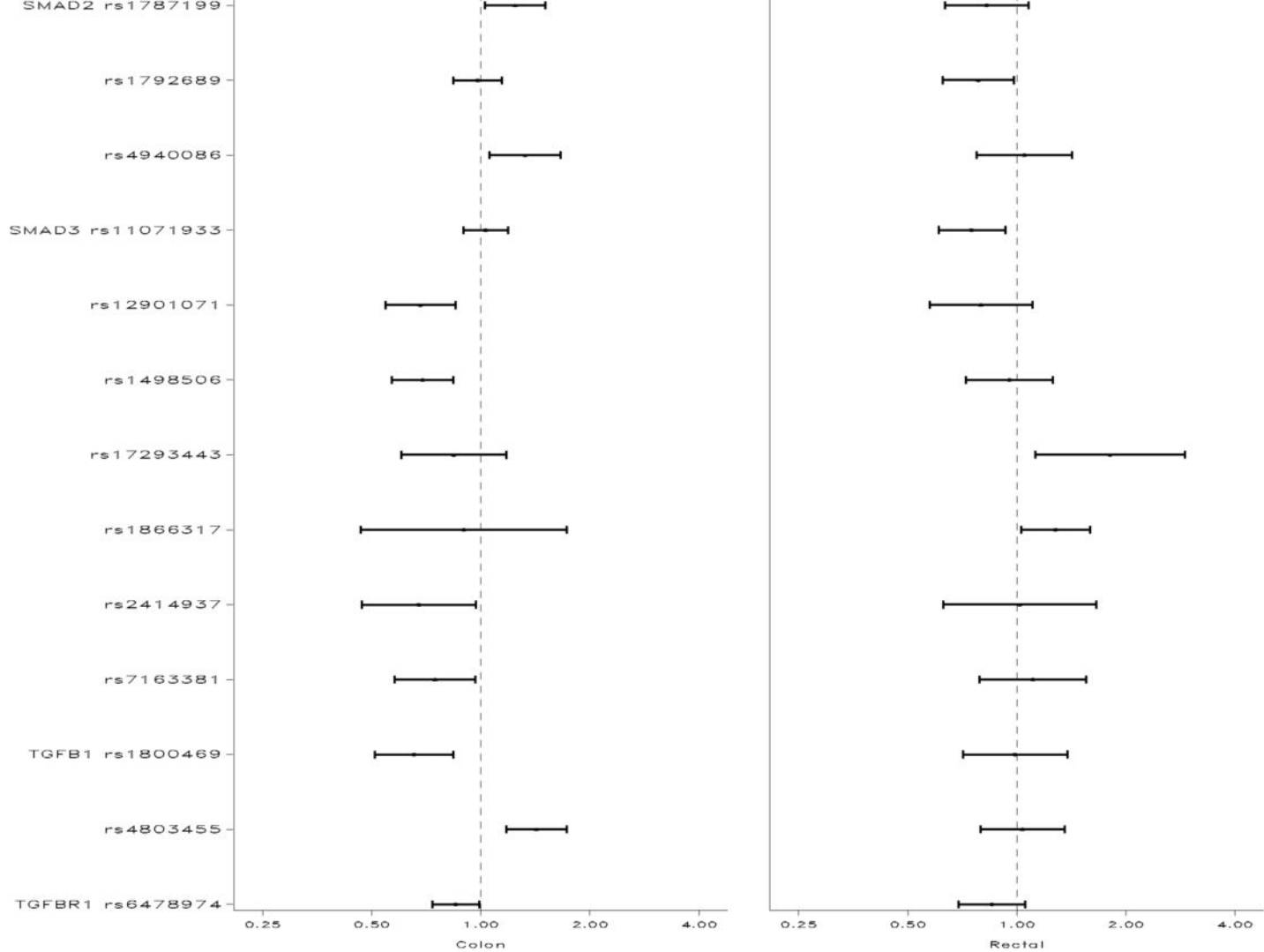
Gene	Chromo some Location	SNP	Region	MAF	Major/ Minor Allele	FDR HWE Probability	Colon	Rectal
							Homozygote Rare OR	Homozygote Rare OR
<i>Smad1</i>	4q31	rs714195	intronic	0.42	G/A	0.73	0.99 (0.80, 1.21)	1.02 (0.74, 1.39)
		rs6537355	5upstream	0.12	A/G	0.88	1.35 (0.72, 2.54)	0.93 (0.37, 2.32)
		rs2118438	intronic	0.19	G/A	0.61	1.11 (0.75, 1.65)	1.29 (0.72, 2.34)
		rs1016792	intronic	0.19	T/C	1.00	1.00 (0.69, 1.46)	0.90 (0.51, 1.58)
<i>Smad2</i>	18q21.1	rs12505085	3downstream	0.23	A/G	0.89	0.88 (0.65, 1.20)	0.91 (0.57, 1.47)
		rs1787199	intronic	0.46	A/T	1.00	1.24 (1.03, 1.51)	0.83 (0.63, 1.08)
		rs1792658	intronic	0.21	A/C	0.96	1.18 (0.88, 1.58)	1.12 (0.72, 1.74)
		rs1792689	intronic	0.13	C/T	0.95	1.30 (0.79, 2.13)	0.95 (0.41, 2.22)
<i>Smad3</i>	15q22.33	rs4940086	intronic	0.33	T/C	1.00	1.33 (1.06, 1.66)	1.05 (0.77, 1.42)
		rs731874	intronic	0.28	G/A	1.00	1.06 (0.82, 1.37)	0.94 (0.65, 1.37)
		rs745103	intronic	0.45	T/C	0.86	0.96 (0.79, 1.16)	0.98 (0.75, 1.29)
		rs750766	Unknown	0.48	G/A	1.00	0.93 (0.77, 1.13)	1.06 (0.81, 1.39)
		rs893473	intronic	0.17	C/T	1.00	0.99 (0.69, 1.40)	1.06 (0.71, 1.59)
		rs991157	intronic	0.30	G/A	1.00	0.96 (0.75, 1.23)	1.07 (0.75, 1.51)
		rs1470003	intronic	0.48	G/C	0.96	0.95 (0.79, 1.15)	1.19 (0.90, 1.57)
		rs1498506	intronic	0.48	A/C	1.00	0.69 (0.57, 0.84)	0.96 (0.72, 1.26)
		rs1866317	Unknown	0.11	C/G	1.00	0.92 (0.48, 1.76)	1.65 (0.77, 3.54)
		rs1992215	Unknown	0.33	T/C	1.00	1.00 (0.80, 1.25)	0.86 (0.62, 1.21)
		rs2118610	intronic	0.45	G/A	0.61	0.94 (0.78, 1.14)	1.02 (0.77, 1.36)
		rs2118611	intronic	0.20	A/G	0.99	0.94 (0.66, 1.34)	0.93 (0.62, 1.42)
		rs2414937	intronic	0.20	G/C	1.00	0.67 (0.47, 0.97)	1.02 (0.63, 1.67)
		rs3743343	3utr	0.24	T/C	1.00	1.15 (0.87, 1.52)	1.10 (0.77, 1.58)
		rs3784681	intronic	0.29	G/C	0.96	0.91 (0.71, 1.17)	0.79 (0.56, 1.11)
		rs3825977	intronic	0.19	C/T	1.00	1.01 (0.72, 1.42)	0.76 (0.47, 1.24)
		rs4147358	intronic	0.22	C/A	0.96	0.99 (0.73, 1.33)	0.89 (0.60, 1.33)
		rs4601989	intronic	0.24	C/T	0.68	0.81 (0.60, 1.08)	0.66 (0.44, 1.00)
		rs4776881	intronic	0.44	T/C	1.00	1.07 (0.89, 1.30)	1.21 (0.92, 1.60)
		rs4776890	intronic	0.40	T/G	0.96	0.97 (0.80, 1.19)	0.96 (0.72, 1.28)
		rs4776892	intronic	0.18	A/T	0.45	1.00 (0.67, 1.48)	1.14 (0.69, 1.88)
		rs7163381	intronic	0.26	G/A	1.00	0.79 (0.61, 1.03)	1.06 (0.74, 1.51)
		rs7173811	intronic	0.47	C/T	0.96	1.06 (0.88, 1.28)	0.96 (0.73, 1.26)
		rs7176870	intronic	0.43	A/G	1.00	1.06 (0.88, 1.29)	1.19 (0.90, 1.58)
		rs7181556	intronic	0.24	C/T	0.99	0.91 (0.69, 1.22)	0.75 (0.50, 1.12)
		rs7183244	intronic	0.39	C/T	0.84	1.00 (0.81, 1.23)	1.04 (0.77, 1.41)
		rs9972423	intronic	0.37	T/A	1.00	0.97 (0.79, 1.20)	1.27 (0.94, 1.73)
		rs11071933	intronic	0.33	C/G	1.00	0.94 (0.75, 1.16)	0.92 (0.68, 1.25)
rs11637581	intronic	0.28	C/T	0.95	0.98 (0.76, 1.28)	1.11 (0.77, 1.59)		
rs11639295	intronic	0.31	C/T	1.00	0.88 (0.70, 1.12)	0.83 (0.59, 1.16)		
rs12102171	intronic	0.17	C/T	0.86	0.90 (0.62, 1.30)	0.84 (0.49, 1.43)		
rs12708492	intronic	0.48	C/T	1.00	1.02 (0.85, 1.24)	1.10 (0.84, 1.44)		
rs12901071	intronic	0.34	A/G	0.68	0.67 (0.53, 0.84)	0.85 (0.60, 1.20)		
rs12904944	intronic	0.34	G/A	1.00	0.81 (0.64, 1.01)	1.07 (0.79, 1.47)		
rs12907997	intronic	0.50	C/T	1.00	0.95 (0.78, 1.14)	0.91 (0.70, 1.20)		
rs12915039	intronic	0.24	A/C	1.00	1.01 (0.76, 1.35)	1.08 (0.72, 1.61)		
rs16950687	intronic	0.28	A/G	1.00	0.93 (0.72, 1.21)	1.22 (0.85, 1.76)		

		rs17293443	intronic	0.22	T /C	0.92	0.85 (0.61, 1.19)	1.74 (1.08, 2.82)
<i>Smad4</i>	18q21.1	rs8096092	intronic	0.38	C /A	0.68	1.00 (0.81, 1.23)	1.17 (0.87, 1.59)
		rs10502913	intronic	0.24	G /A	0.74	0.81 (0.61, 1.08)	1.09 (0.72, 1.67)
<i>Smad7</i>	18q21.1	rs1316447	intronic	0.19	C /T	1.00	0.86 (0.60, 1.23)	0.88 (0.53, 1.45)
		rs2337106	intronic	0.47	C /G	1.00	0.88 (0.72, 1.06)	1.11 (0.85, 1.45)
		rs2337107	intronic	0.41	G /A	0.99	1.12 (0.92, 1.36)	0.97 (0.74, 1.28)
		rs3736242	intronic	0.22	G /A	1.00	0.94 (0.69, 1.28)	1.33 (0.84, 2.09)
		rs3764482	intronic	0.19	C /T	1.00	1.25 (0.86, 1.81)	0.60 (0.34, 1.07)
		rs4464148	intronic	0.31	T /C	1.00	1.06 (0.83, 1.35)	0.76 (0.54, 1.09)
		rs4939827	intronic	0.49	T /C	1.00	0.79 (0.66, 0.95)	0.95 (0.73, 1.23)
		rs4939832	intronic	0.24	A /G	1.00	1.00 (0.76, 1.32)	1.29 (0.87, 1.92)
		rs7238442	intronic	0.46	T /C	0.82	1.12 (0.93, 1.35)	0.92 (0.71, 1.20)
		rs12456328	intronic	0.13	C /T	1.00	0.81 (0.49, 1.33)	1.16 (0.51, 2.66)
		rs12953717	intronic	0.42	C /T	1.00	1.36 (1.12, 1.65)	0.90 (0.68, 1.19)
<i>TGFβ1</i>	19q13.1	rs1800469	5upstream	0.31	G /A	1.00	0.65 (0.51, 0.84)	0.98 (0.71, 1.38)
		rs4803455	intronic	0.48	C /A	0.92	1.43 (1.18, 1.73)	1.04 (0.79, 1.35)
<i>TGFβR1</i>	9q22	rs1571590	intronic	0.20	A /G	0.67	1.39 (0.98, 1.96)	1.42 (0.85, 2.39)
		rs6478974	intronic	0.49	T /A	1.00	0.86 (0.71, 1.04)	0.84 (0.63, 1.10)
		rs10733710	intronic	0.20	G /A	0.96	1.06 (0.77, 1.46)	1.22 (0.78, 1.91)

Minor Allele Frequency (MAF) and FDR-adjusted Hardy-Weinberg Equilibrium (FDR HWE) based on white control population.

ORs are adjusted for age, center, race, and sex.

\*Indicates dominant model used due to MAF < 0.1.



# Cancer Epidemiology, Biomarkers & Prevention

## Genetic variation in the TGF $\beta$ -signaling pathway and colon and rectal cancer risk

Martha L Slattery, Jennifer Herrick, Abbie Lundgreen, et al.

*Cancer Epidemiol Biomarkers Prev* Published OnlineFirst November 10, 2010.

<b>Updated version</b>	Access the most recent version of this article at: doi: <a href="https://doi.org/10.1158/1055-9965.EPI-10-0843">10.1158/1055-9965.EPI-10-0843</a>
<b>Author Manuscript</b>	Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.

<b>E-mail alerts</b>	<a href="#">Sign up to receive free email-alerts</a> related to this article or journal.
<b>Reprints and Subscriptions</b>	To order reprints of this article or to subscribe to the journal, contact the AACR Publications Department at <a href="mailto:pubs@aacr.org">pubs@aacr.org</a> .
<b>Permissions</b>	To request permission to re-use all or part of this article, use this link <a href="http://cebp.aacrjournals.org/content/early/2010/11/09/1055-9965.EPI-10-0843">http://cebp.aacrjournals.org/content/early/2010/11/09/1055-9965.EPI-10-0843</a> . Click on "Request Permissions" which will take you to the Copyright Clearance Center's (CCC) Rightslink site.